



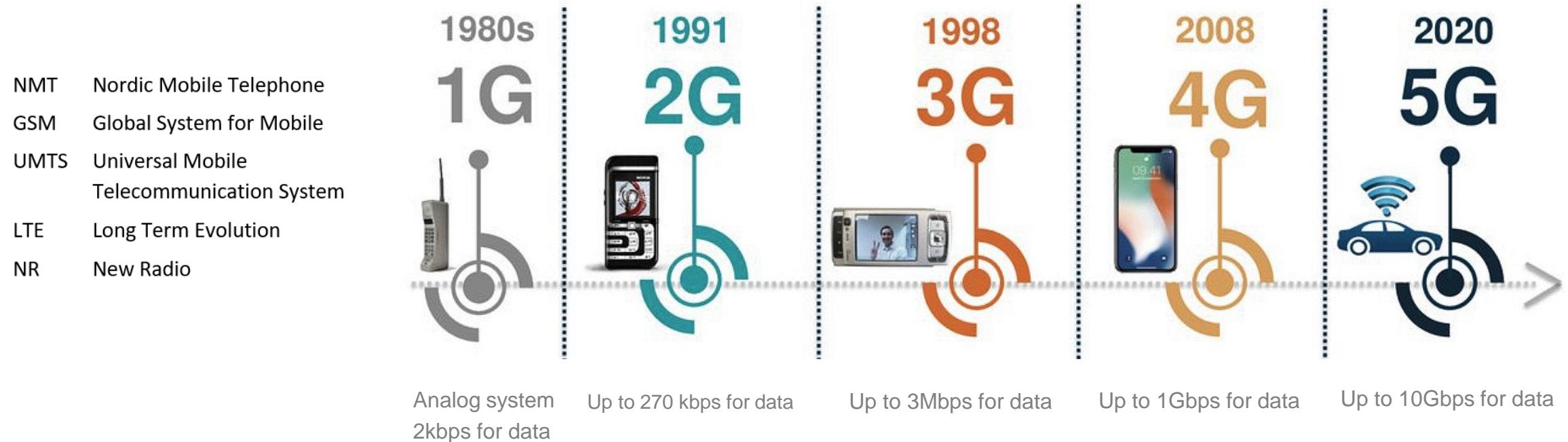
## Mobile communication overview 2/2

KIS FRI UNIZA

Vytvorené v rámci projektu KEGA 026TUKE-4/2021

# Mobile communication evolution to 5G (5<sup>th</sup> generation)

- 1G (NMT) – Analog system, poor voice quality & battery life, big phones, no security
- 2G (GSM) – Digital narrowband system, SMS, smaller phones, up to 270 kbps but often lower and bad quality
- 3G (UMTS) – Support both voice and video, data rates up to 3 Mbps
- 4G (LTE) – All IP transport, high data throughputs
- 5G (NR) – Cloud based and distributed architecture, network slicing (virtualization) used for various transport types and customer services, high data throughput





# 3GPP

## 3<sup>rd</sup> Generation Partnership Project

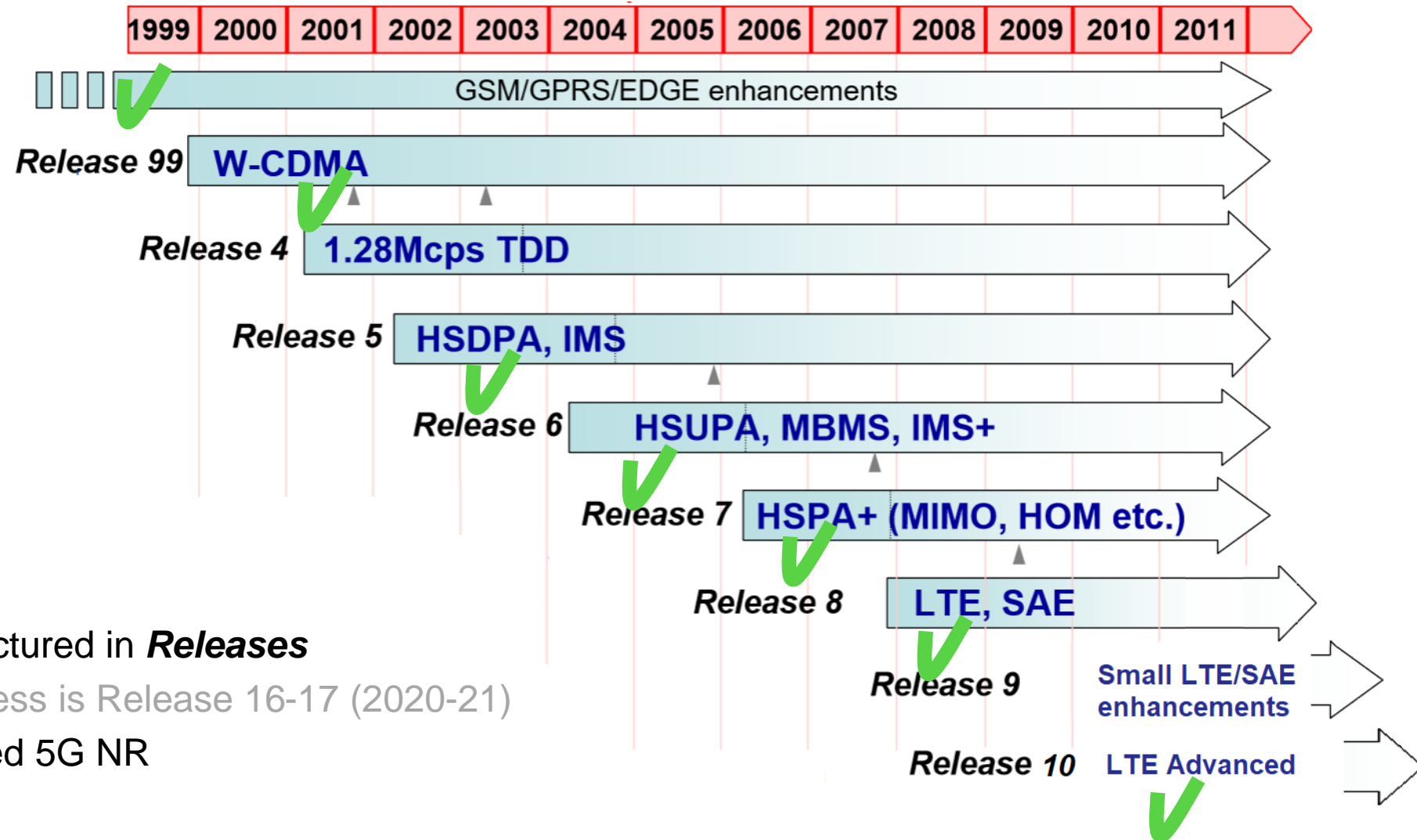
# Understanding 3GPP

- 3GPP (3rd Generation Partnership Project) unites seven telecommunications standard development organizations (ARIB, ATIS, CCSA, ETSI, TSDSI, TTA, TTC), known as “Organizational Partners”
- 3GPP was initially formed in December 1998 when the ETSI (European Telecommunications Standards Institute) partnered with other standard development organizations from around the world to develop new technologies for the third generation (3G) of cellular networks.
- 3GPP was heavily influenced at the start by existing 2G GSM standards
  - GSM is a standard developed by the ETSI to describe the protocols for second-generation (2G) digital cellular networks
- At the same time, another group in the United States formed the 3rd Generation Partnership Project 2 (3GPP2)
- The 3G technologies developed by 3GPP are called UMTS, whereas 3GPP2 technologies are called CDMA2000
- UMTS (Universal Mobile Telecommunications System) is a 3rd generation mobile cellular system for networks
- UMTS uses W-CDMA (Wideband Code Division Multiple Access) radio access technology
- HSPA (High Speed Packet Access) extends and improves the performance of initial 3G mobile telecommunication networks using the W-CDMA protocols

# Understanding 3GPP

- In the mid-2000s, as it started to become clear that 3G networks would be overwhelmed by the need for faster Internet access, work begun on 4G standards. The requirements for 4G were not only faster peak data rates exceeding 100 Mbps, but it also required that 4G systems be built such that they are ideally suited for data-transmission, which equated to an all-IP packet-switched architecture.
- Three competing standards bodies worked on potential solutions for 4G at the same time
  1. **3GPP** standards organization worked on a system called **LTE** (Long Term Evolution)
  2. **3GPP2** started developing its own solution called the **UMB** (Ultra Mobile Broadband)
  3. **IEEE** started to develop a system called **WiMAX**
- In 2018, 3GPP published new standards, which includes what is described as "Phase 1" standardization for **5G NR** (New Radio)

# Understanding 3GPP (UMTS, LTE and NR)



3GPP standards are structured in **Releases**

Current Release in progress is Release 16-17 (2020-21)

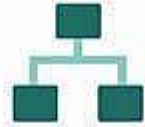
Release 15 has introduced 5G NR

ETSI publishes the PDF versions for the 3GPP Releases that have been frozen

# Understanding 3GPP



Radio Access Network (RAN) Technical Specification Group
Defines the radio communications between UEs and core network
<b>RAN WG1</b> Layer 1 (Physical) spec
<b>RAN WG2</b> Layer 2 and 3 (RR) protocols
<b>RAN WG3</b> Access network interfaces + O&M
<b>RAN WG4</b> Performance requirements
<b>RAN WG5</b> UE conformance testing
<b>RAN WG6</b> Legacy RAN, e.g. GSM, HSPA



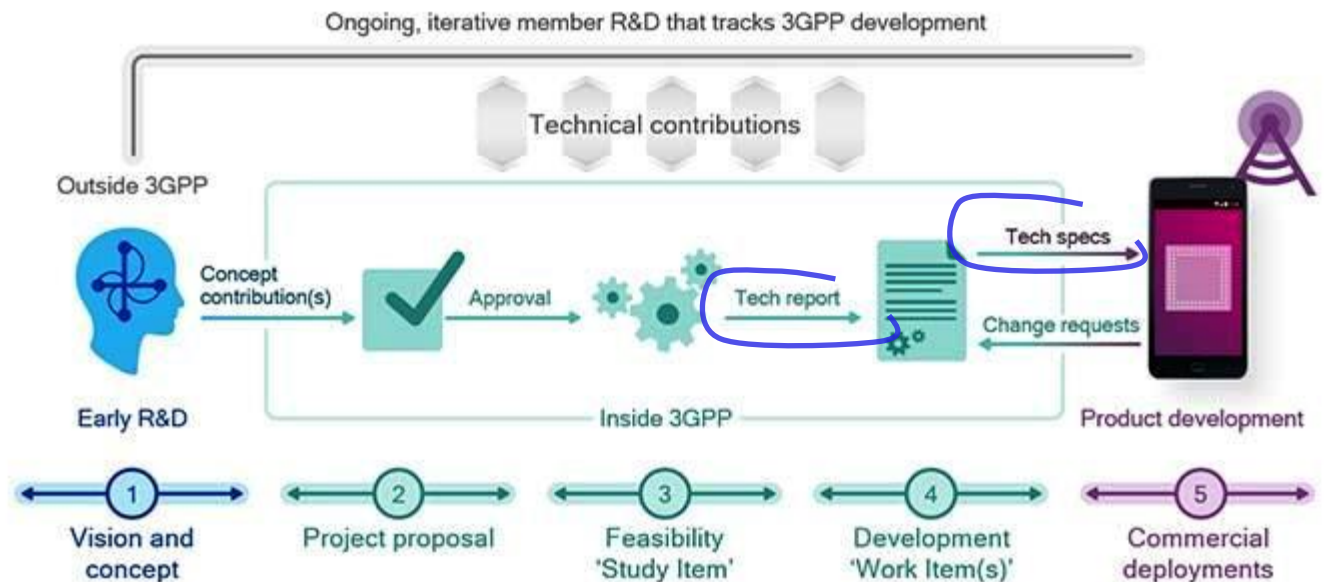
Service/System Aspects (SA) Technical Specification Group
Responsible for overall architecture & service capabilities
<b>SA WG1</b> Service requirements
<b>SA WG2</b> Architecture
<b>SA WG3</b> Security
<b>SA WG4</b> Codecs, multimedia system
<b>SA WG5</b> Telecom management
<b>SA WG6</b> Mission-critical services



Core network & Terminals (CT) Technical Specification Group
Responsible for core network; defines terminal interfaces & capabilities
<b>CT WG1</b> Mobility Mgmt, Call Ctrl, Session Mgmt
<b>CT WG3</b> Policy, QoS and Interworking
<b>CT WG4</b> Network protocols
<b>CT WG6</b> Smart card application

3GPP specification work is done in **Technical Specification Groups (TSGs)** and **Working Groups (WGs)**

**Technical Specification (TS)** is an ultimate output of work completed in 3GPP  
Over 1300 active 3GPP TSEs





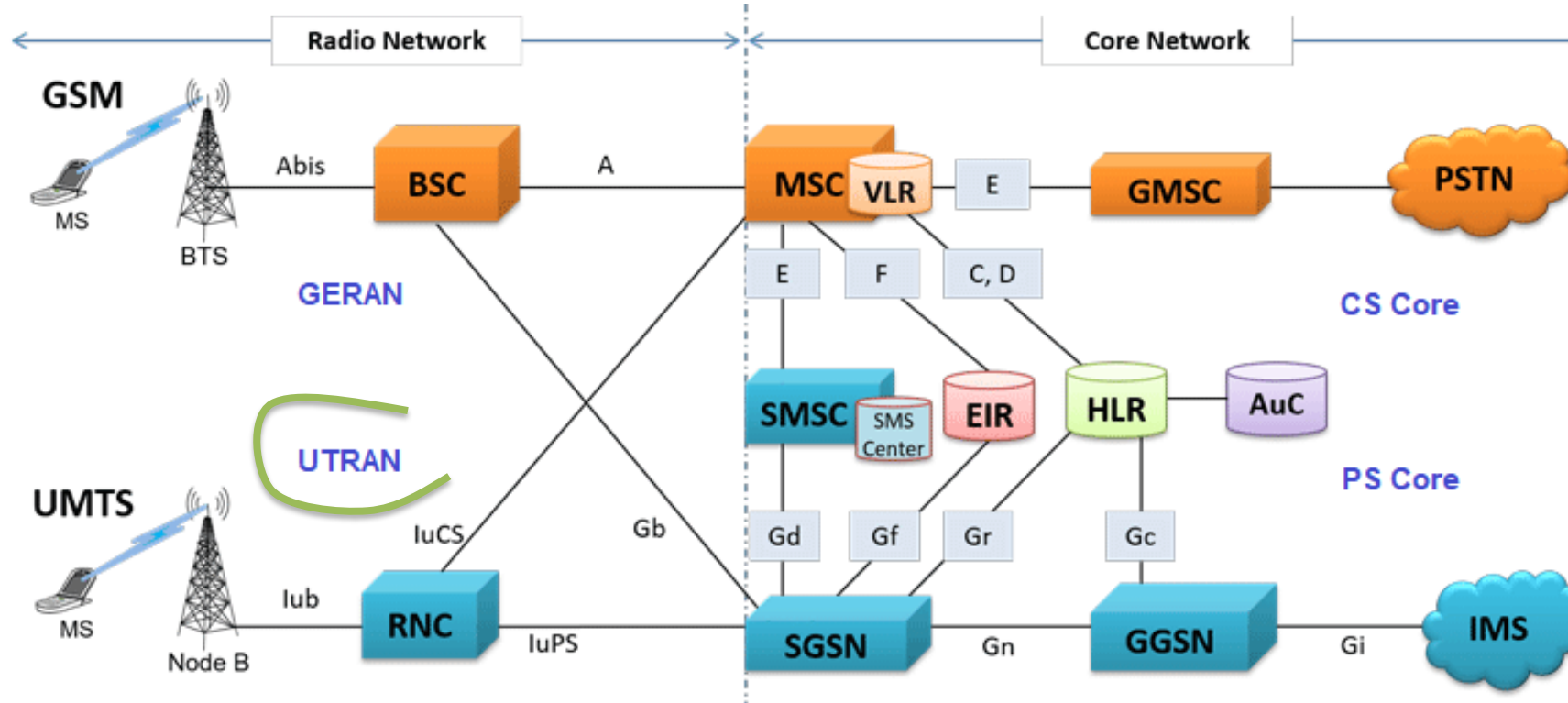
## 3G / UMTS

# Universal Mobile Telecommunication System

**3G UMTS**



# 3G UMTS Architecture



GERAN - GSM/EDGE Radio Access Network  
 UTRAN - UMTS Terrestrial Radio Access Network  
 CS Core - Circuit Switched Core  
 PS Core - Packet Switched Core  
 RNC - Radio Network Controller  
 Node B - is an equivalent to the base transceiver station (BTS) in GSM

- Established during 2004 – 2005
- Higher bandwidth enables new applications (TV, Video, GPS, etc)
- High speeds up to 2Mbps
- More flexible as in principle can support CDMA, FDMA, TDMA access techniques

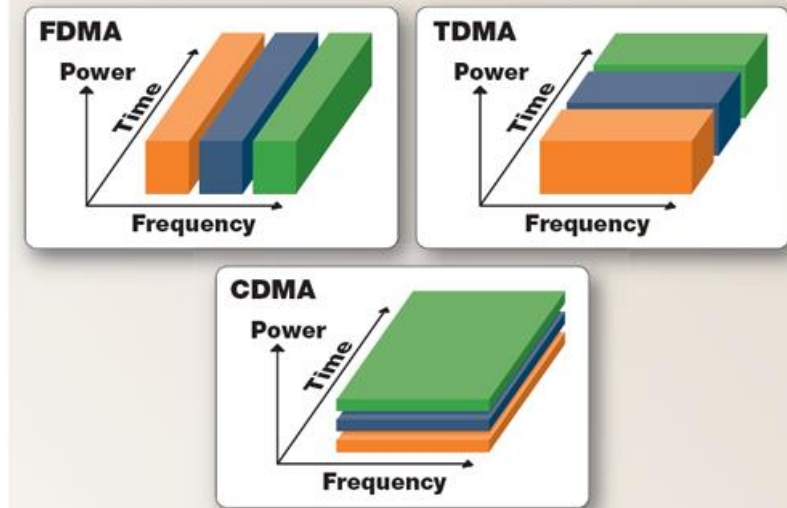
# 3G UMTS - Why W-CDMA?

## New bandwidth sharing technique

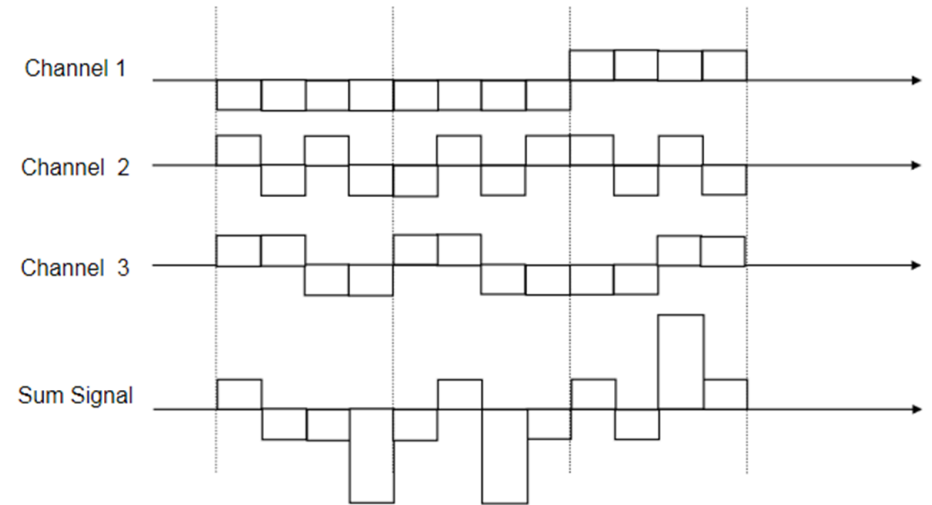
- **W-CDMA** - Wideband Code Division Multiple Access
- FDMA and TDMA are not efficient enough
  - TDMA wastes time resources
  - FDMA wastes frequency resource
- CDMA can exploit the whole bandwidth constantly, therefore selected for UMTS, different technologies
  - WCDMA typically deployed in Europe (3GPP)
  - CDMA2000 common in North America (3GPP2)
- UMTS W-CDMA uses 5 MHz (which also considers the guard bands on either sides)
  - Compared to narrowband CDMA (which uses a 200KHz-wide carrier), WCDMA system uses a 5MHz-wide carrier
  - The guard band in UMTS is 0.58 MHz or 580 KHz. Hence if we exclude the guard bands on both sides of the 5 MHz spectrum, we get an effective bandwidth of 3.84 MHz at least, which is used for transmission of the signals.
- R99/R4 defines QPSK modulation scheme (1 symbol = 2 bits)
- Typical data rates of UMTS are:
  - 144 kbps for rural
  - 384 kbps for urban outdoor
  - 2048 kbps for indoor and low range outdoor

# 3G UMTS - CDMA principle

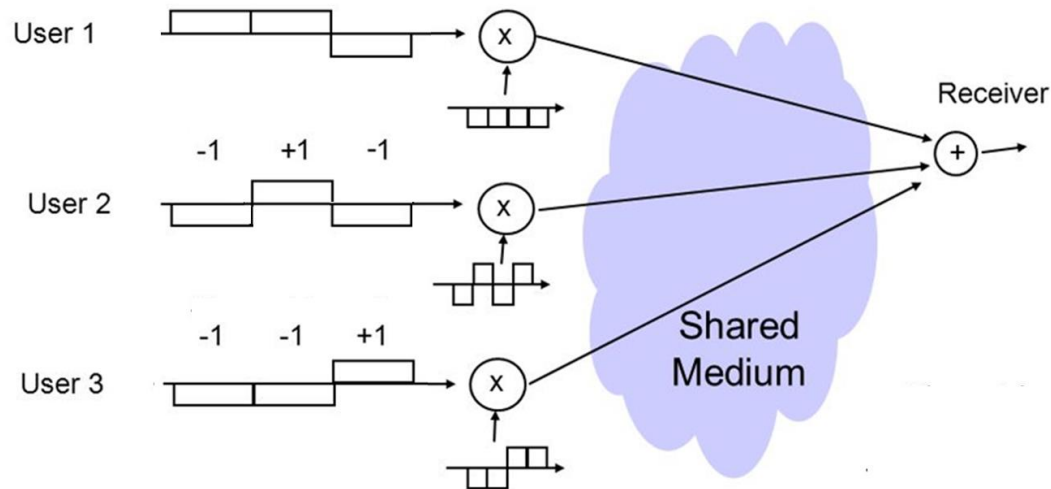
Different (orthogonal) codes are used to separate different transmissions



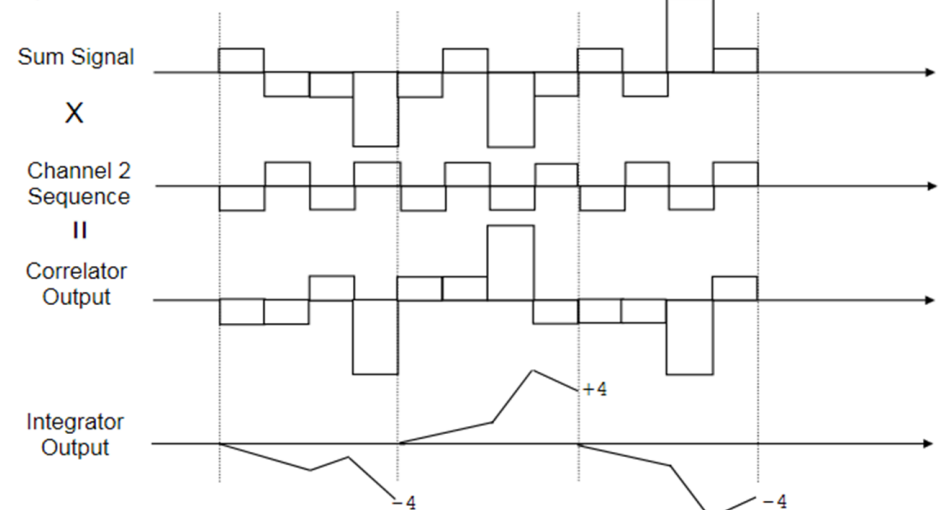
Channel 1: 110 -> +1+1-1 -> (-1, -1, -1, -1), (-1, -1, -1, -1), (+1, +1, +1, +1)  
 Channel 2: 010 -> -1+1-1 -> (+1, -1, +1, -1), (-1, +1, -1, +1), (+1, -1, +1, -1)  
 Channel 3: 001 -> -1-1+1 -> (+1, +1, -1, -1), (+1, +1, -1, -1), (-1, -1, +1, +1)  
 Sum Signal: (+1, -1, -1, -3), (-1, +1, -3, -1), (+1, -1, +3, +1)



Users are synchronized & use different 4-bit orthogonal codes:  
 $\{-1, -1, -1, -1\}$ ,  $\{-1, +1, -1, +1\}$ ,  $\{-1, -1, +1, +1\}$ ,  $\{-1, +1, +1, -1\}$ ,  
 +1 +1 -1



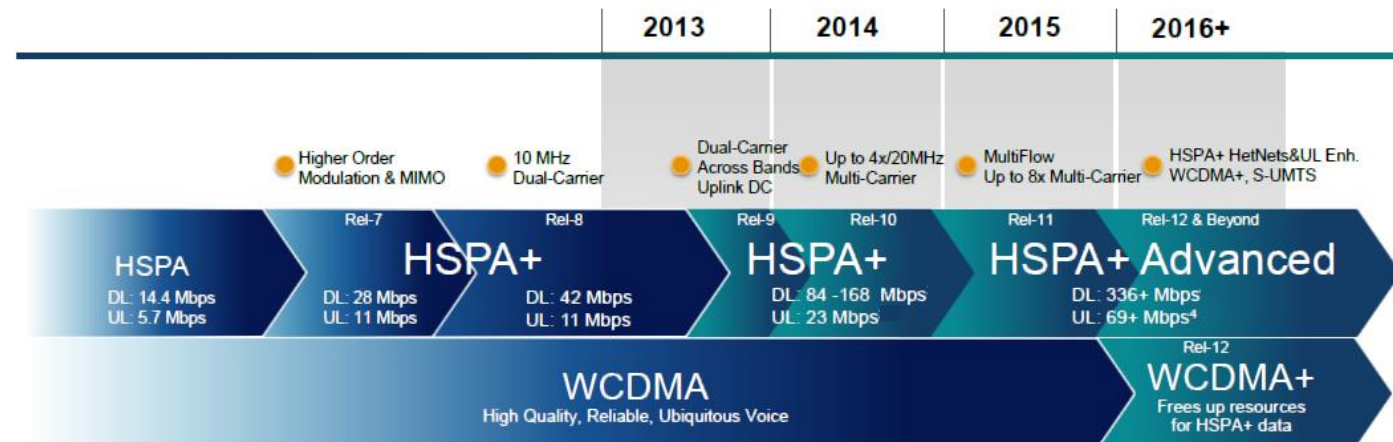
Sum Signal: (+1, -1, -1, -3), (-1, +1, -3, -1), (+1, -1, +3, +1)  
 Channel 2 Sequence: (-1, +1, -1, +1), (-1, +1, -1, +1), (-1, +1, -1, +1)  
 Correlator Output: (-1, -1, +1, -3), (+1, +1, +3, -1), (-1, -1, -3, +1)  
 Integrated Output: -4, +4, -4  
 Binary Output: 0, 1, 0



# 3G UMTS - HSPA

- **HSPA** (High Speed Packet Access) is an amalgamation of two mobile protocols, High Speed Downlink Packet Access (HSDPA) and High Speed Uplink Packet Access (HSUPA)
- A further improved 3GPP standard, **HSPA+** (Evolved High Speed Packet Access)
  - released late in 2008 with subsequent worldwide adoption beginning in 2010.
  - the newer standard allows bit-rates to reach as high as 337 Mbit/s in the downlink and 34 Mbit/s in the uplink. However, these speeds are rarely achieved in practice.
- **MIMO** (Multiple-Input Multiple-Output) is a wireless technology that uses multiple transmitters and receivers to transfer more data at the same time, multiple antennas are used at both sides. Operating at the same frequency, without requiring more spectrum. One transceiver is connected to a vertically-oriented part of the antenna and the other is connected to the horizontal (Vertical and horizontal polarization).
- What is the difference between **MIMO** and **Carrier Aggregation**?
  - MIMO combines signals and data streams from multiple antennas to improve signal quality and data rates, whereas **Carrier Aggregation (CA)** combines multiple frequency carriers (channels) to enhance the bandwidth and data rates.

# of codes	Modulation	Max data rate
5 codes	QPSK	1.8 Mbps
5 codes	16-QAM	3.6 Mbps
10 codes	16-QAM	7.2 Mbps
15 codes	16-QAM	10.1 Mbps
15 codes	16-QAM	14.4 Mbps





# 4G / LTE Long Term Evolution

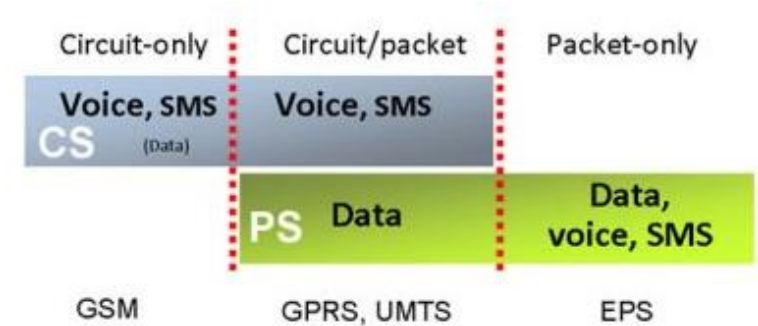
**4G LTE**

# 4G / LTE - summary

- Provides lower latency for subscriber's data
- Higher overall network throughput and increased data speeds for subscriber
  - ~ up to 100Mbps downlink (300Mbps downlink in 20MHz x 4 MIMO x 64QAM), ~ 10msec latency
- Cost effectiveness
- New modulation types
  - OFDMA (Orthogonal Frequency Division Multiplexing Access) for downlink
  - SC-FDMA (Single Carrier Frequency Division Multiple Access) for uplink
- The technology was standardized within the 3GPP as part of the 3GPP Release 8 (2008/2009)
- Mass deployment to begin around 2012
- Co-existence with older wireless technologies, call can be started in LTE and transferred into GSM, UMTS
- MIMO
- All IP architecture
- Spectrum flexibility 1.25MHz to 20 MHz
- TDD/FDD
- LTE Advanced, 3GPP Release 10
  - Improves capacity and coverage and provides large bandwidth up to 100Mhz of spectrum
  - Peak data rates up to 1Gbps

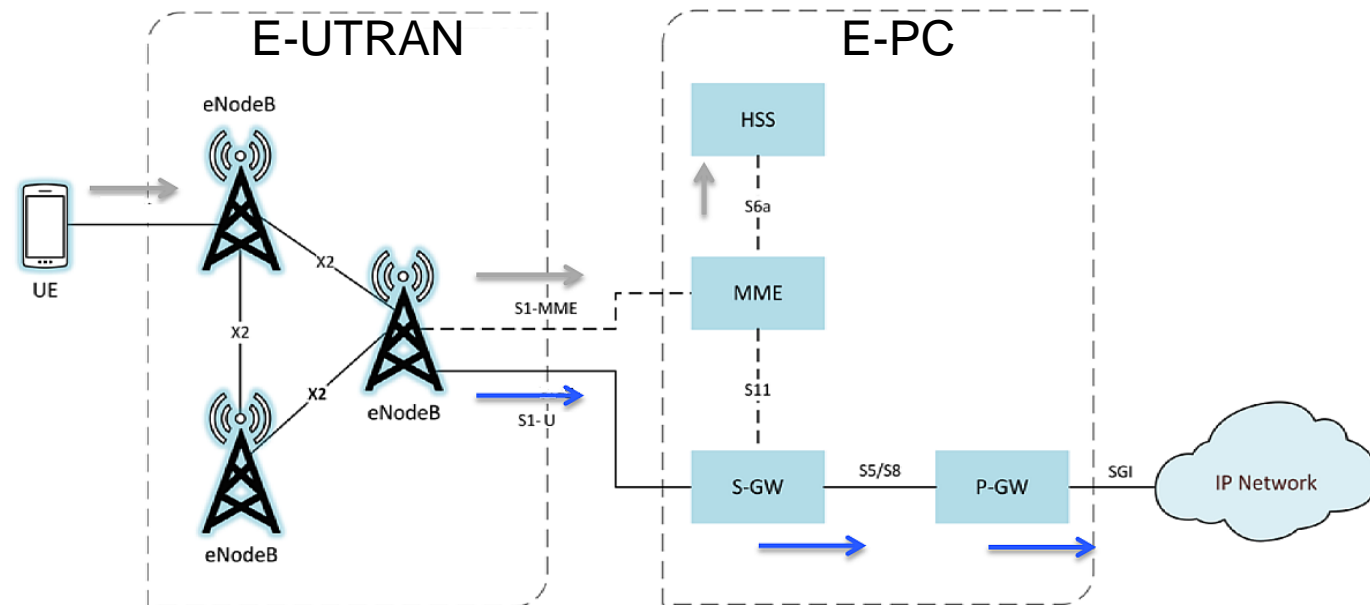
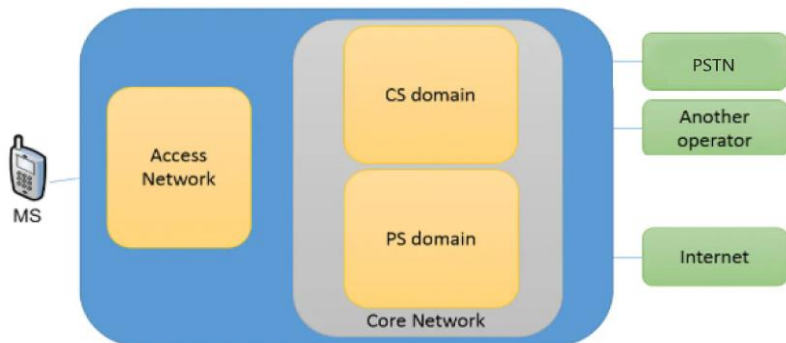
# 4G / LTE - architecture

- Generally, mobile network consists of 2 parts:
  - Radio access network (*GERAN in 2G, UTRAN in 3G, E-UTRAN in LTE*)
  - Core network
    - CS domain (Circuit Switched) – handles voice service
      - MSC, HLR, VLR, etc.*
    - PS domain (Packet Switched) – handles data
      - SGSN & GGSN in 2G/3G; S/P-GW & HSS & MME & other in LTE*



- LTE network doesn't contain a CS domain. Even the voice calls are using PS domain
- EPS (Evolved Packet System) is composed of E-UTRAN and EPC which are commonly known as LTE and SAE (System Architecture Evolution) respectively

- E-UTRAN – Evolved UTRAN
- E-PC - Evolved Packet Core



# 4G / LTE – components and interfaces

- In mobile networks, every interface between two nodes, is having an interface name, that represents the protocol used in the communication between those nodes

**eNodeB** - Evolved Node B

**MME** - Mobility Management Entity

**SGW** – Serving Gateway

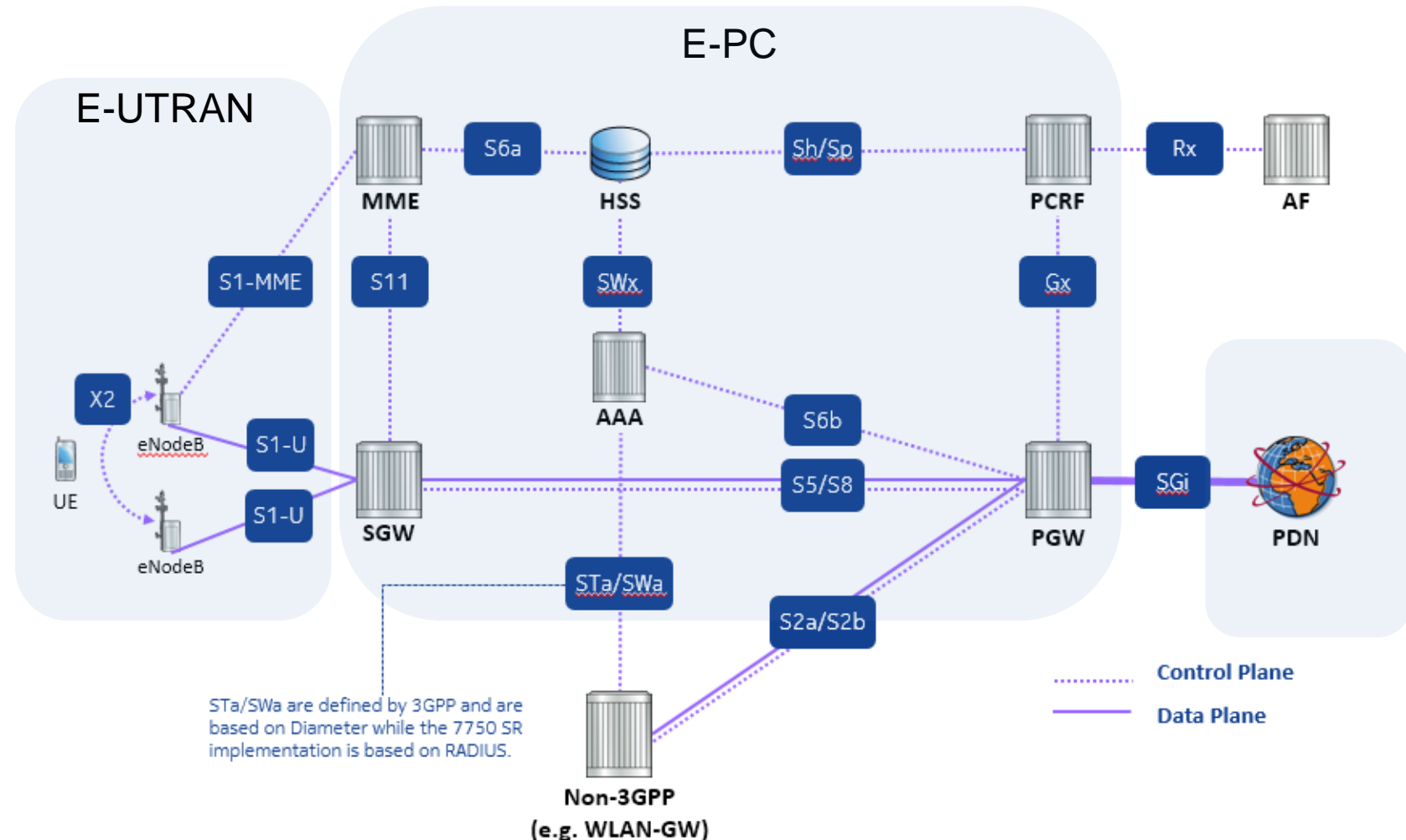
**PGW** – Packet Gateway

**HSS** – Home Subscriber Server

**PCRF** – Policy and Charging Rules Function

**AF** – Application Function

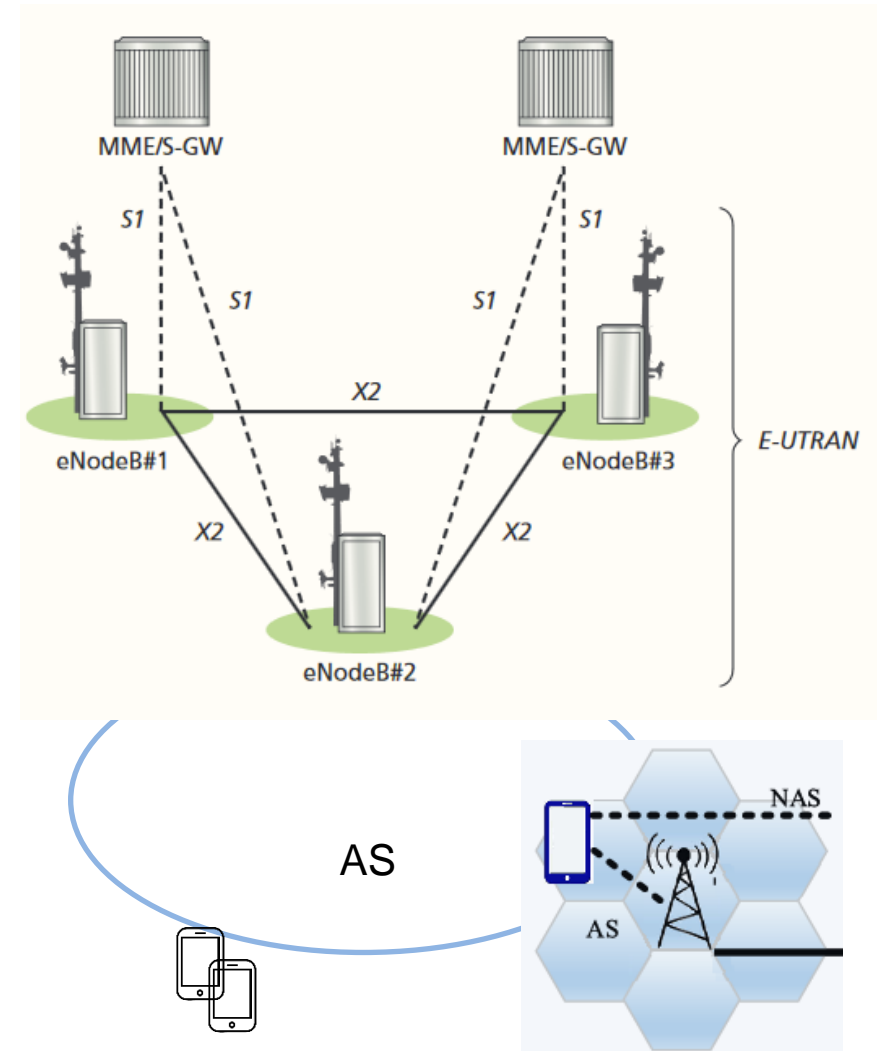
**AAA** - Authentication, Authorization and Accounting





# E-UTRAN

- eNodeBs (base stations) are normally interconnected with each other by means of a logical interface known as X2 and to the E-PC by means of the logical S1 interface
  - more specifically, to the MME by means of the S1-MME interface and to the S-GW by means of the S1-U interface
- E-UTRAN domain is responsible for all radio-related functions
  - RRM - Radio resource management – This covers all functions related to the radio bearers, such as radio bearer control, radio admission control, radio mobility control, scheduling and dynamic allocation of resources to UEs in both uplink and downlink.
  - Header Compression – This helps to ensure efficient use of the radio interface by compressing the IP packet headers that could otherwise represent a significant overhead, especially for small packets such as VoIP
  - Security – All data sent over the radio interface is encrypted
  - Connectivity to the EPC – This consists of the signaling toward MME and the bearer path toward the S-GW.

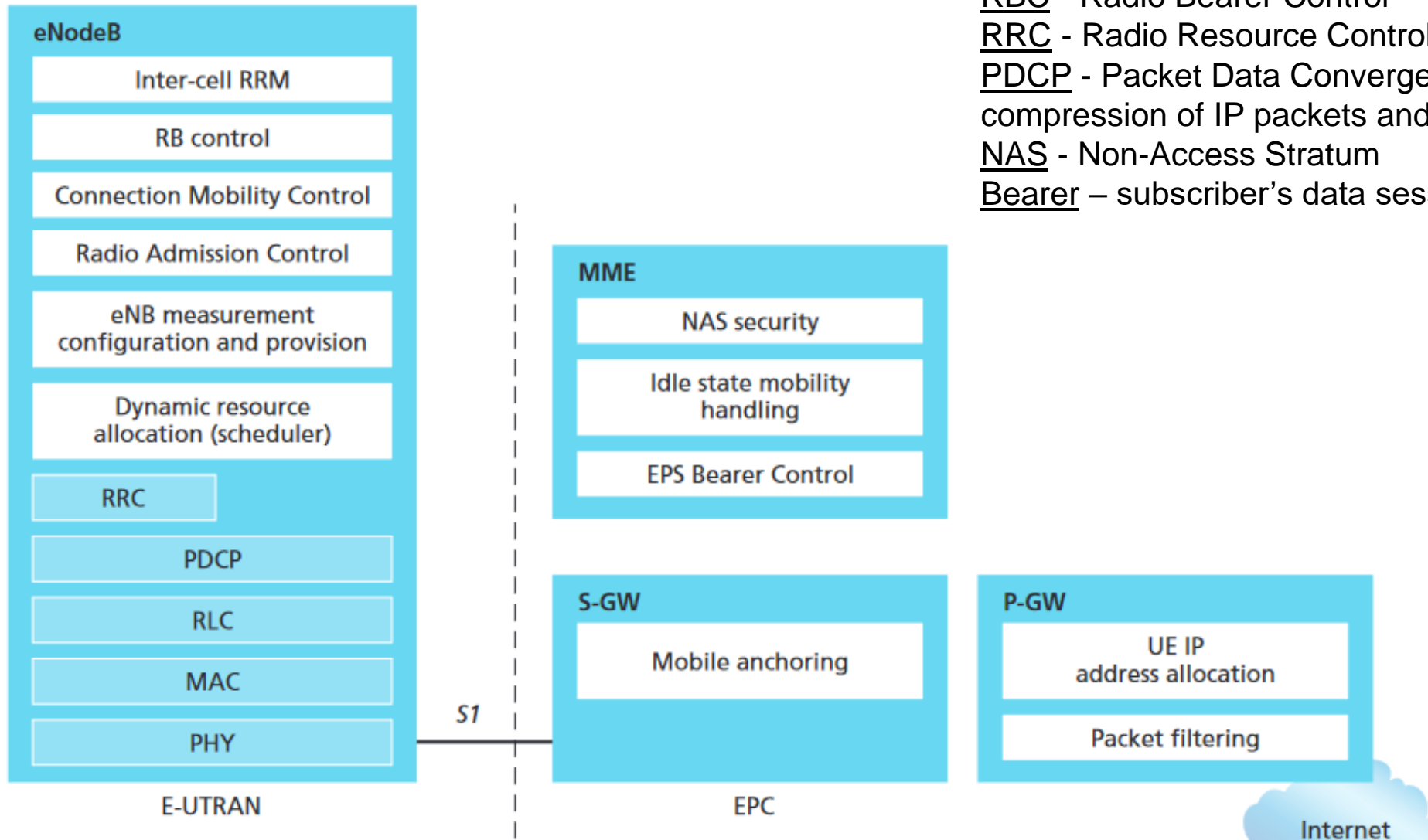


The protocols that run between the eNodeBs and the UE are known as the AS (Access Stratum) protocols.

# E-PC

- S-GW - Serving Gateway - All user IP packets are transferred through the S-GW, which serves as the local mobility anchor for inter-eNodeB handover for the data bearers - when the UE moves between eNodeBs. It also retains the information about the bearers when the UE is in the idle state (known as EPS Connection Management) and temporarily buffers downlink data while the MME initiates paging of the UE to reestablish the bearers. Provides mobility between LTE and other types of networks, such as between 2G/3G and P-GW
- P-GW - PDN Gateway - Responsible for IP address allocation for the UE, as well as QoS enforcement and flow-based charging according to rules from the PCRF. It is responsible for the filtering of downlink user IP packets into the different QoS-based bearers
- PCRF - Policy Control and Charging Rules Function - Responsible for policy control decision-making, as well as for controlling the flow-based charging functionalities in the PCEF (Policy Control Enforcement Function). The PCRF provides the QoS authorization (QoS class identifier [QCI] and bit rates), cooperates with charging function
- MME - Mobility Management Entity is the control node that processes the signaling between CN (Core network) and UE. The protocols running between the UE and the Core network (MME) are known as the NAS (Non-Access Stratum) protocols
- HSS - Home Subscriber Server contains users' SAE subscription data such as the EPS-subscribed QoS profile and any access restrictions for roaming. It also holds information about the PDNs to which the user can connect. This could be in the form of an APN (Access Point Name). In addition, the HSS holds dynamic information such as the identity of the MME to which the user is currently attached or registered.

# 4G / LTE – Functional split



RRM - Radio resource management

RBC - Radio Bearer Control

RRC - Radio Resource Control – radio control functions

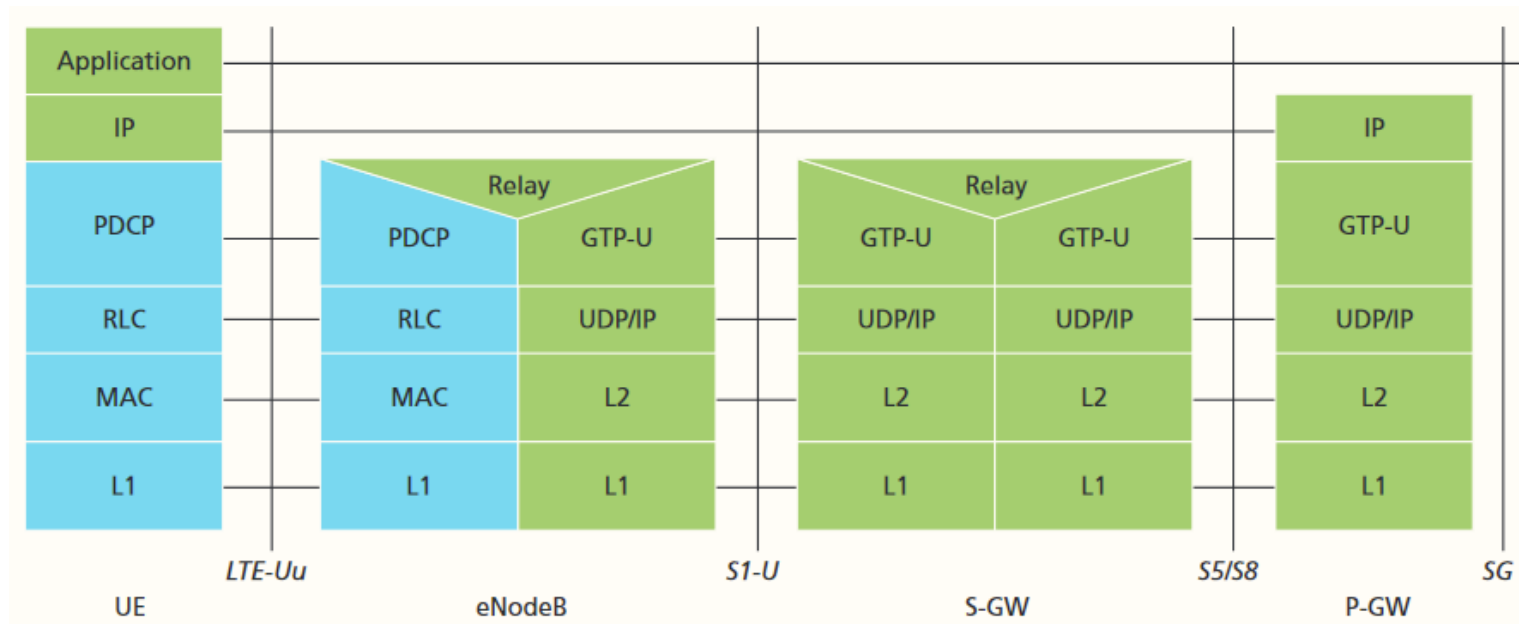
PDCP - Packet Data Convergence Protocol - header compression of IP packets and security functions

NAS - Non-Access Stratum

Bearer – subscriber's data session

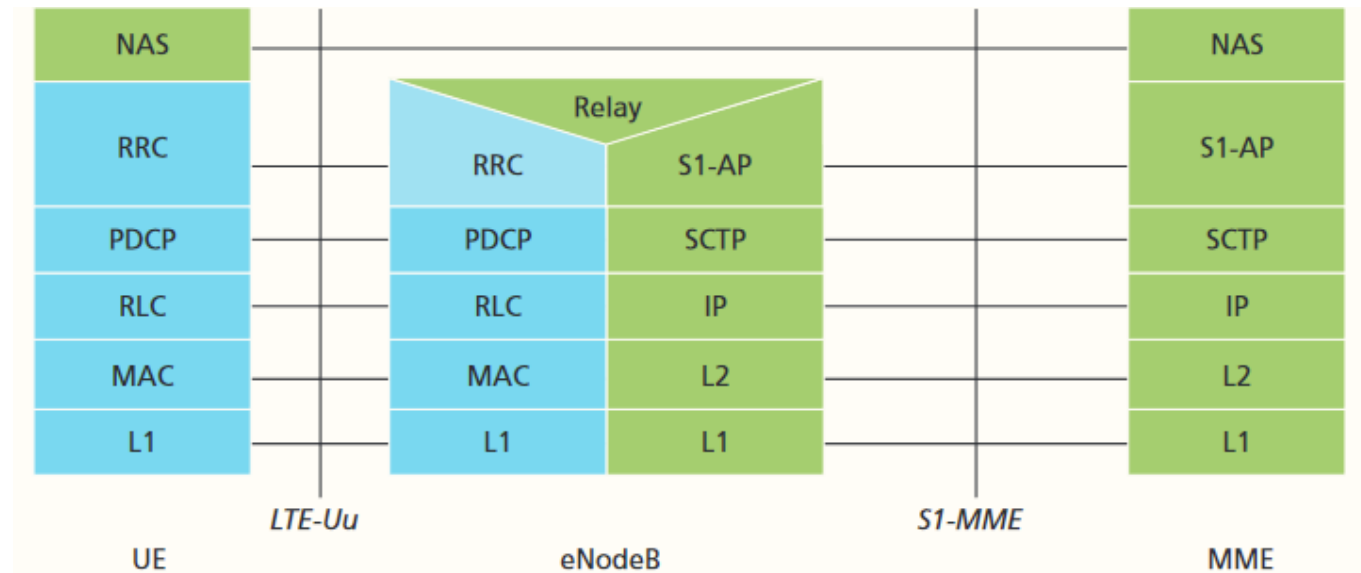
# User plane

- IP packets for UE are encapsulated within EPC via 3GPP-specific tunneling protocol called GTP (GPRS Tunneling Protocol) is used over the CN interfaces, S1 and S5/S8 (in green)
- An EPS bearer is equivalent to a PDP Context (3G UMTS terminology). Is a logical (GTP) transport tunnel between the UE and the PGW, used for exchanging data. When EPS bearer is established, a bearer context is created in all the nodes that handle the user data.
  - Two types – default and dedicated bearer
- The E-UTRAN user plane protocol stack is shown (in blue) consisting of the PDCP (Packet Data Convergence Protocol), RLC (Radio Link Control) and MAC (Medium Access Control) sublayers that are terminated in the eNodeB on the network side.



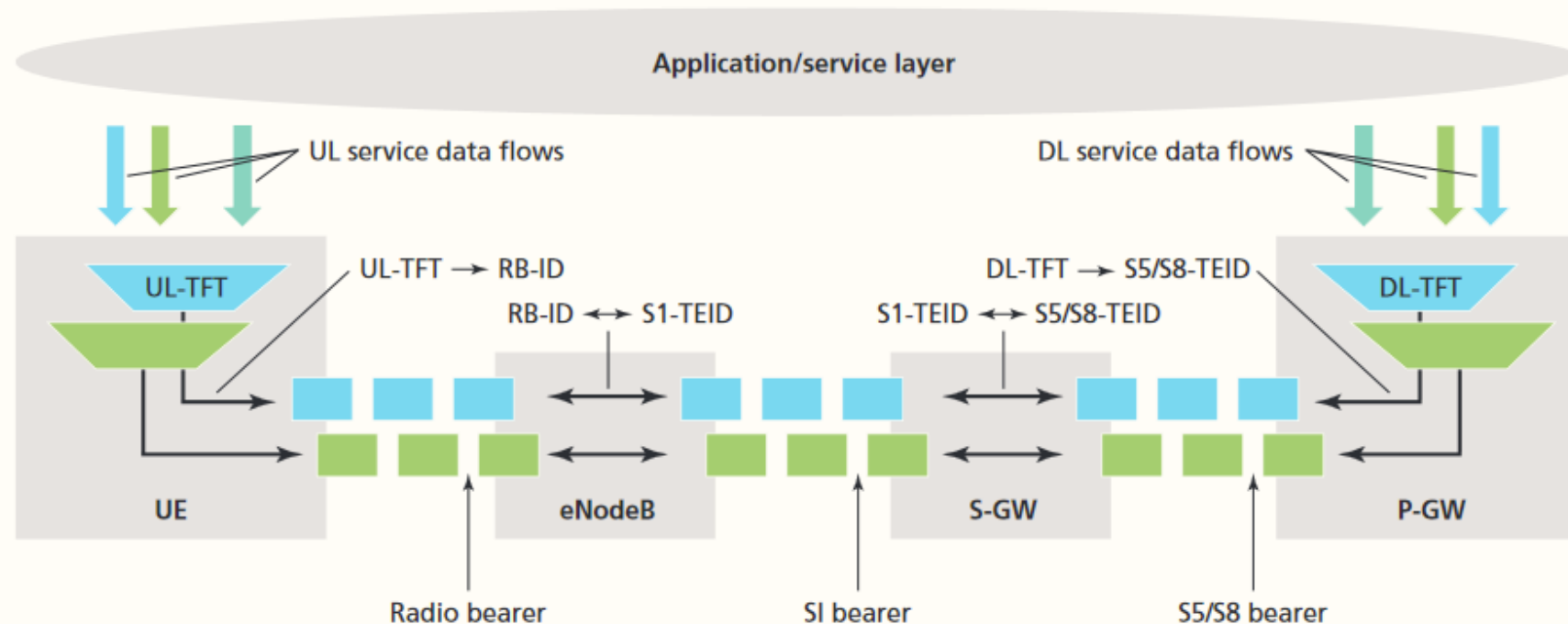
# Control plane

- The blue region of the stack indicates the AS protocols. The lower layers perform the same functions as for the user plane with the exception that there is no header compression function for the control plane.
- RRC (Radio Resource Control) protocol is known as “layer 3” in the AS protocol stack. It is the main controlling function in the AS, being responsible for establishing the radio bearers
- RLC (Radio Link Control) is responsible for transfer of upper layer PDUs, error correction through ARQ (Automatic Repeat Request), segmentation and reassembly, duplicate detection
- MAC (Media Access Layer) layer is responsible for mapping between logical channels and transport channels
- Physical layer carries all information from the MAC transport channels over the air interface. Takes care of the link adaptation (AMC), power control, cell search



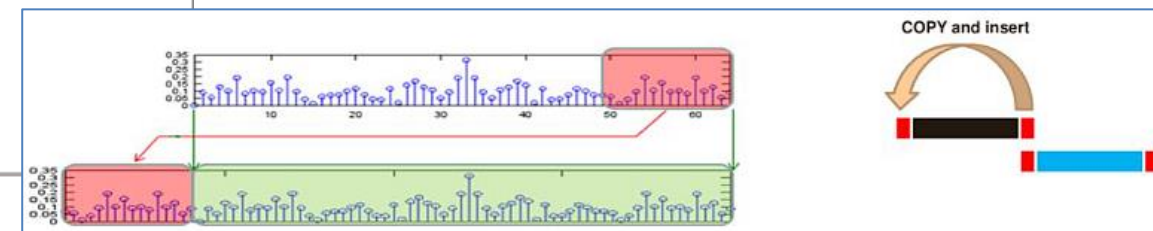
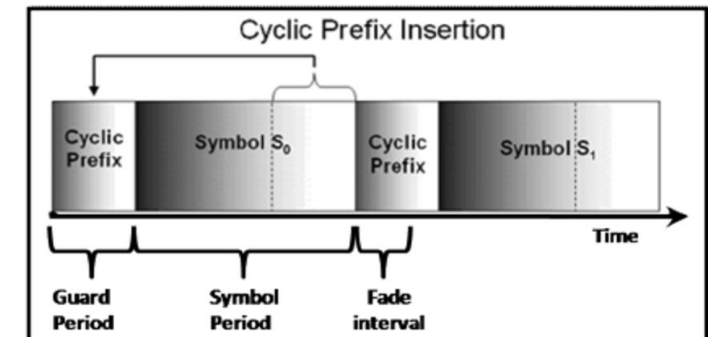
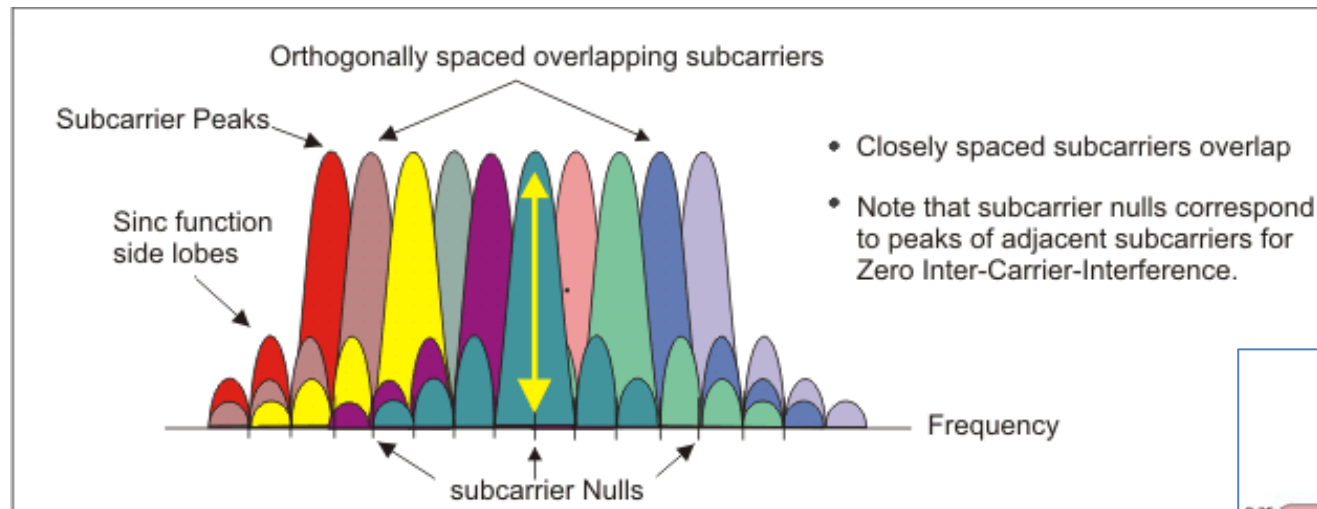
# EPS bearer across different interfaces

- The EPS bearer is identified by the GTP tunnel ID across both interfaces, TEID (Tunnel Endpoint ID)
- TFT (Traffic Flow Template) - Packet filtering into different bearers (which can share the same IP address and APN) is based on TFT, based on IP, UDP/TCP port, UL (uplink), DL (downlink)
- Default bearer is established and “remains throughout the lifetime” of the PDN connection in order to provide the UE with always-on IP connectivity to the PDN (typically internet). The initial bearer-level QoS parameter values of the default bearer are assigned by the MME, based on subscription data retrieved from the HSS. The PCEF may change these values in interaction with the PCRF or according to local configuration. Another bearer type – dedicated bearer - used for VoIP traffic, for instance.



# Radio physical interface – OFDMA & SC-FDMA modulation

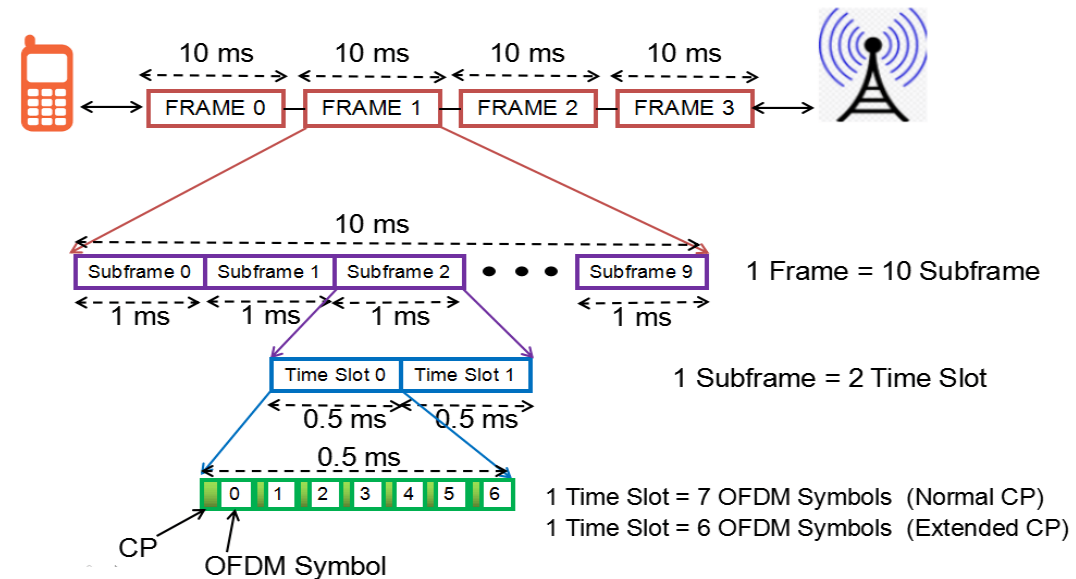
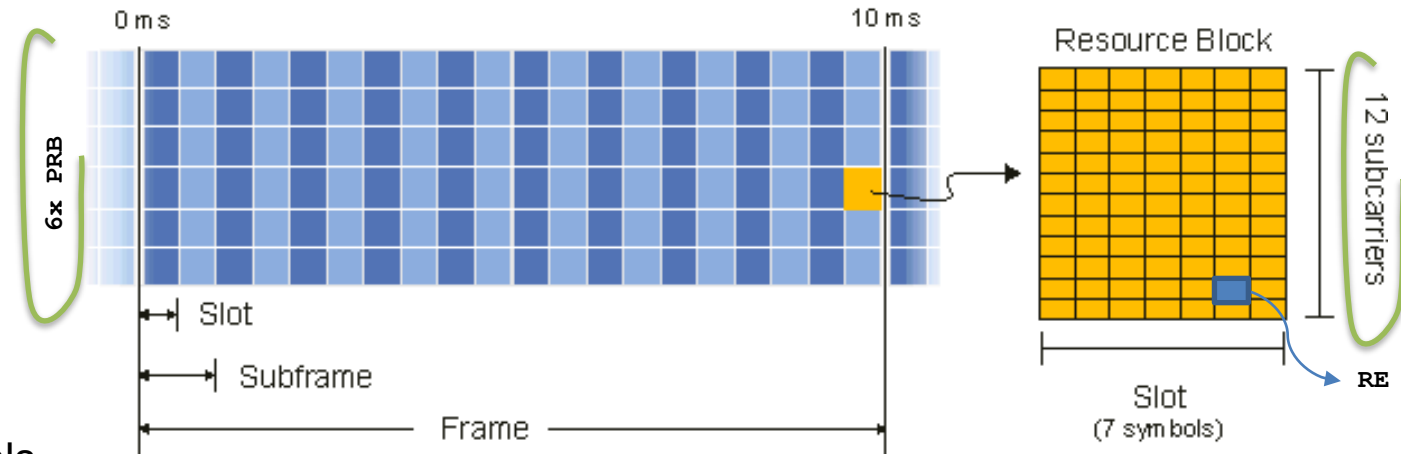
- OFDM (Orthogonal Frequency Division Multiplexing) forms the basic signal format used within 4G LTE. OFDM is the basic format used and this is modified to provide the multiple access scheme: OFDMA in downlink direction
- SC-FDMA (Single Carrier Frequency Division Multiple Access) modulation scheme used in uplink direction - the benefit of a single carrier multiplexing of having a lower Peak-to-average Power Ratio
- Form of transmission that uses a large number of close spaced carriers that are modulated with low rate data. Normally these signals would be expected to interfere with each other, but by making the signals orthogonal to each other there is no mutual interference
- CP (Cyclic Prefix) refers to the prefixing of a symbol, with a repetition at the end of the symbol. It provides a guard interval to eliminate inter-symbol interference from the previous symbol.



# LTE frame structure

- OFDM symbol: 2048 samples + CP
- Timeslot: 0.5 msec, 6 or 7 OFDM symbols
- Sub-frame: 1 msec, 2 timeslots
- LTE radio frame: 10 msec, 10 sub-frames
- Subcarrier bandwidth: 15 kHz
  
- RE (Resource Element): a smallest unit in OFDMA system, used to carry user data, signals and control data, 1 subcarrier x 1 symbol
- RB or PRB (Physical Resource Block): the smallest unit of resources allocated to a user:  $12 \times 15\text{kHz} = 180\text{kHz}$  wide and 7 symbols length
  
- The bandwidths available defined by the standard are 1.4, 3, 5, 10, 15, and 20 MHz

LTE FDD Frame  
1.4 MHz, Normal CP

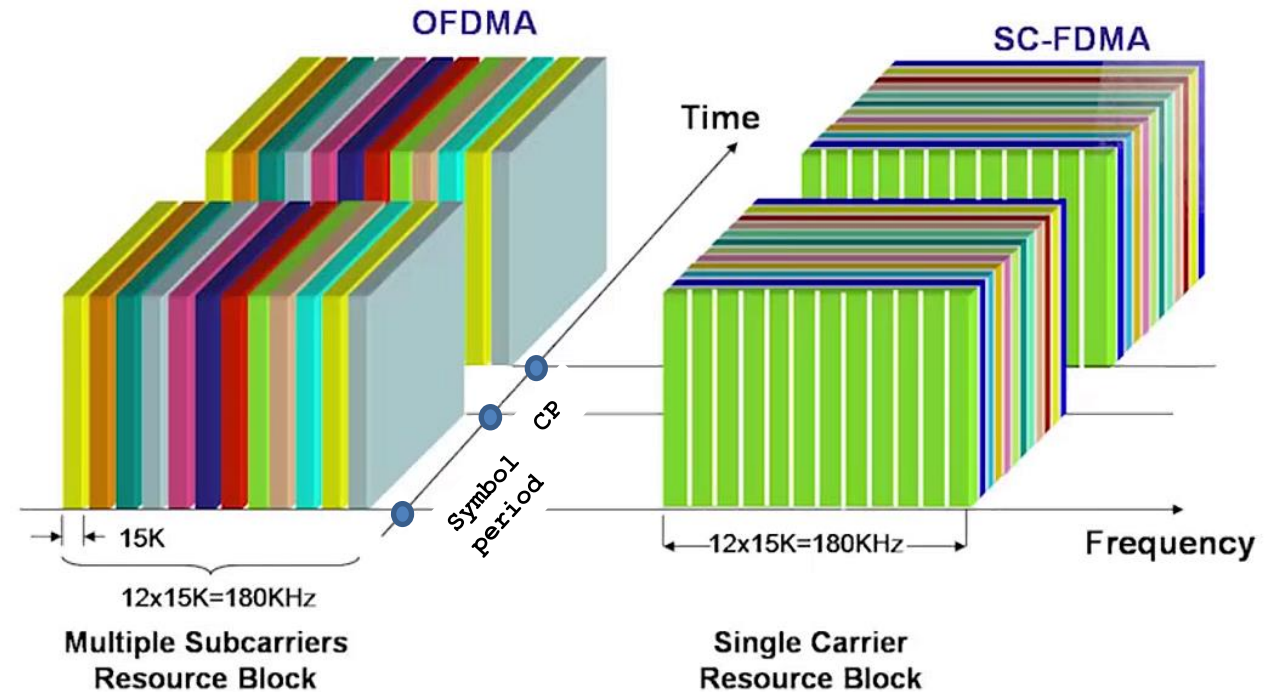
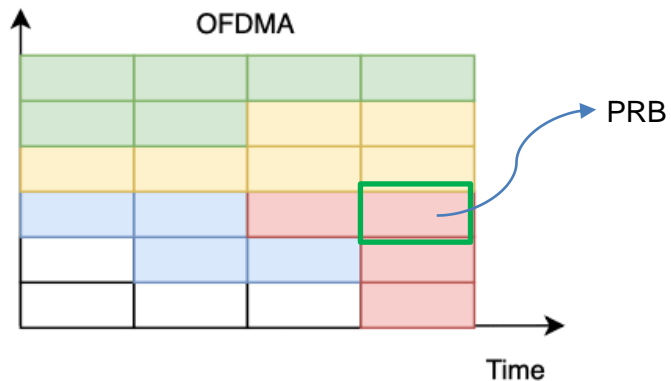


Bandwidth	Resource Blocks	Subcarriers (downlink)	Subcarriers (uplink)
1.4 MHz	6	73	72
3 MHz	15	181	180
5 MHz	25	301	300
10 MHz	50	601	600
15 MHz	75	901	900
20 MHz	100	1201	1200



# OFDM, OFDMA & SC-FDMA modulation

- A. OFDM allocates users in time domain only
- B. OFDMA allocates user in time and frequency domain
  - more flexible



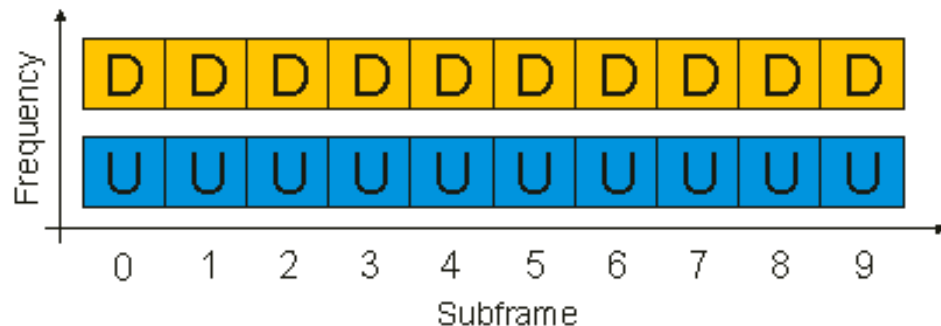
Data symbols occupies 15kHz for one OFDMA symbol period

Data symbols occupies  $N \times 15\text{kHz}$  for  $1/N$  SC-FDMA symbol period

# FDD and TDD mode

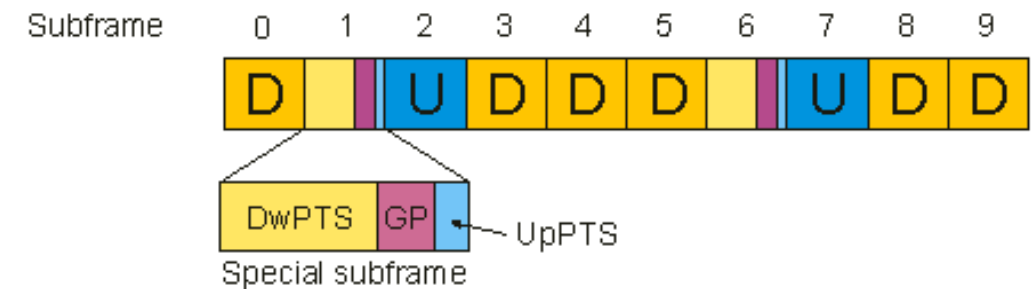
- FDD and TDD transmission can occur in both directions simultaneously so that data can flow downlink (DL) and uplink (UL) at the same time. TDD systems use a single frequency band for both transmit and receive.
- In FDD (Frequency Division Duplex) mode, UL and DL frames are both 10ms long and are separated either in frequency or in time, For full-duplex FDD, uplink and downlink frames are separated by frequency and are transmitted continuously and synchronously.
- In TDD (Time Division Duplex) mode, the uplink and downlink subframes are transmitted on the same frequency and are multiplexed in the time domain. Special subframes are used for switching from downlink to uplink

LTE FDD Frame Type 1



LTE TDD Frame Type 2

UL/DL Config = 2, Special SF Config = 6



# LTE and LTE-Advanced UE categories & class definition

UE Category		Max. Data Rate		Min. Number of DL CCs	DL MIMO Layers	Highest Modulation	
		DL	UL			DL	UL
Rel 8	1	~ 10 Mbps	~ 5 Mbps	1	1	64 QAM	16 QAM
	2	~ 50 Mbps	~ 25 Mbps		2		
	3	~ 100 Mbps	~ 50 Mbps				
	4	~ 150 Mbps	~ 50 Mbps				
	5	~ 300 Mbps	~ 75 Mbps				
Rel 10	6	~ 300 Mbps	~ 50 Mbps	1 or 2	2 or 4	64 QAM	16 QAM
	7	~ 300 Mbps	~ 100 Mbps				64 QAM
	8	~ 3000 Mbps	~ 1500 Mbps				5
Rel 11	9	~ 450 Mbps	~ 50 Mbps	2 or 3	2 or 4	256 QAM	16 QAM
	10	~ 450 Mbps	~ 100 Mbps				
	11	~ 600 Mbps	~ 50 Mbps	2, 3 or 4			
	12	~ 600 Mbps	~ 100 Mbps				

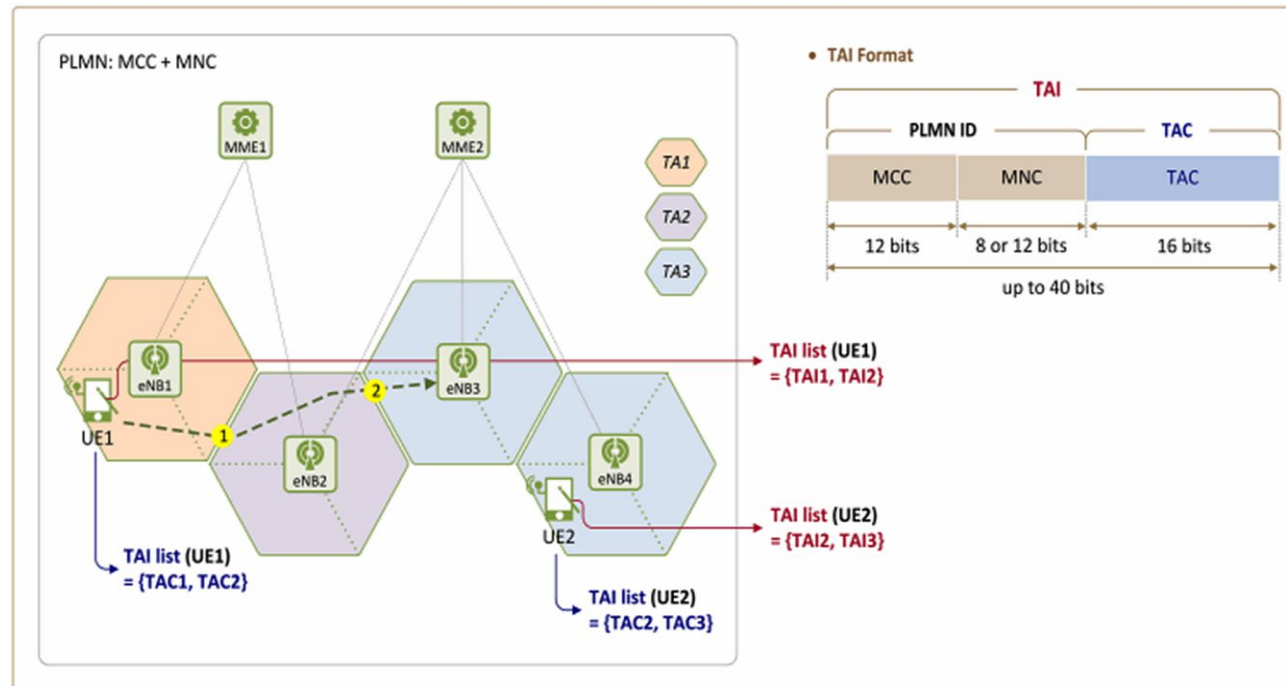
Within the OFDM signal it is possible to choose between three types of modulation for the LTE signal:

- QPSK (= 4QAM) 2 bits per symbol
- 16QAM 4 bits per symbol
- 64QAM 6 bits per symbol

- The OFDM signal used in LTE comprises a maximum of 2048 different sub-carriers having a spacing of 15 kHz. Although it is mandatory for the mobiles to have capability to be able to receive all 2048 sub-carriers, not all need to be transmitted by the base station which only needs to be able to support the transmission of 72 sub-carriers (6 PRBs or 1.4MHz bandwidth). In this way all mobiles will be able to talk to any base station.
- CA (Carrier Aggregation) is used in LTE-Advanced in order to increase the bandwidth (and bitrate), each aggregated carrier is referred to as a CC (Component Carrier)

# LTE terminology

- While the UE is in idle state (i.e. while not communicating or in RRC-Idle state), its location is known by the LTE network at TA level (i.e. on a TA granularity), instead of Cell level. An operator defines a group of neighbor eNBs as a TA
- If there is data traffic heading to a UE in idle state (e.g. if someone sends a text message to a UE), the LTE network has to wake up the UE so that it can receive the data. Here, this "waking up" (called **Paging** or also Discontinuous Reception - **DRX**) is performed TA-wide.
- UE notifies, in idle state via Periodic TAU (TA Update), the LTE network (MME) of its current location by sending a TAU message (TAU Request message) every time it moves between TAs



- TAC** Tracking Area Code
- TAI** Tracking Area Identity = PLMN ID + TAC P-GW
- TAI List** UE can move into the cells included in TAI list without Location Update (TA Update)
- Local Cell ID (CI)** identify of the cell from an OAM perspective
- ECI** E- UTRAN Cell ID - **eNodeB ID** (first 20 most significant bits) and the Local Cell ID (the last 8 bits) ( $2^8$ ) \* eNodeB-ID + Local-Cell-ID
- PCI** Physical Cell Identity - has a range 0 - 503 and it is used to scramble the data to help the mobile separate information from the different transmitters. PCI will determine the primary and secondary sync signal sequence

# LTE terminology

- 2G uses LAC (Location Area Code) – identifies area within PLMN, LTE uses **TAC** (Tracking Area Code)
- ARFCN (Absolute Radio Frequency Channel Number)
- **TA** (Timing Advance) in LTE - 78.125 m one way per unit (TA: 0, 1, 2... 1282)
- LTE uses **RSRP** (Reference Signal Receive Power) instead of RSSI (Received Signal Strength Indicator) in GSM
  - RSSI is the power of the signal
  - RSRP is the average power received from a single cell of a single RE (Resource Element) that carry cell specific Reference Signals (RS) over the entire bandwidth, so RSRP is only measured in the symbols carrying RS
  - RSRP does a better job of measuring signal power from a specific sector and RE while potentially excluding noise and interference from other sectors (RSSI)
  - RSRP measurements are used for cell selection, hand-overs
  - expressed in dBm
  - $RSSI = \text{wideband power} = \text{noise} + \text{serving cell power} + \text{interference power}$
  - $RSSI = 12 * N_{PRB} * RSRP$ ,  $N_{PRB}$  = number of PRBs [W]
  - defined from -140 dBm to -44 dBm
- **RSRQ** (Reference Signal Received Quality) is defined as the ratio  $N_{PRB} \times (RSRP / RSSI)$ 
  - RSRQ is defined from -3dB to -19.5dB, -3dB to -15dB means good signal
- **RSSNR** (RS Signal to Noise Ratio)

L1800 <sup>E</sup>	LTE	▲	☎	↕	⊙
RSRP	-101 dBm	ARFCN	1811		
RSRQ	-8 dB	FREQ	1866.1 MHz		
RSSNR	10.6 dB	BW [CA]	--	[2147484]	
MCC MNC	231 03	SIM/NET Operator	4KA SK / 4KA SK		
TAC	60	Net based Lat/Long	49.201597 / 18.761853		
eNb CI	320 1	TA: 6 (<546 m.)	Distance: ---		
ECI	81921				
PCI	105				
Cell Name	---				

# LTE terminology

## RSRP

RSRP	Signal strength	Description
$\geq -80$ dBm	Excellent	Strong signal with maximum data speeds
-80 dBm to -90 dBm	Good	Strong signal with good data speeds
-90 dBm to -100 dBm	Fair to poor	Reliable data speeds may be attained, but marginal data with drop-outs is possible. When this value gets close to -100, performance will drop drastically

## RSRQ

RSRQ	Signal quality	Description
$\geq -10$ dB	Excellent	Strong signal with maximum data speeds
-10 dB to -15 dB	Good	Strong signal with good data speeds
-15 dB to -20 dB	Fair to poor	Reliable data speeds may be attained, but marginal data with drop-outs is possible. When this value gets close to -20, performance will drop drastically

- RSRQ is the key parameter, however if RSRP value (power of the signal on the receiver antenna) is too low, it can be close or below the sensitivity of the UE / receiver

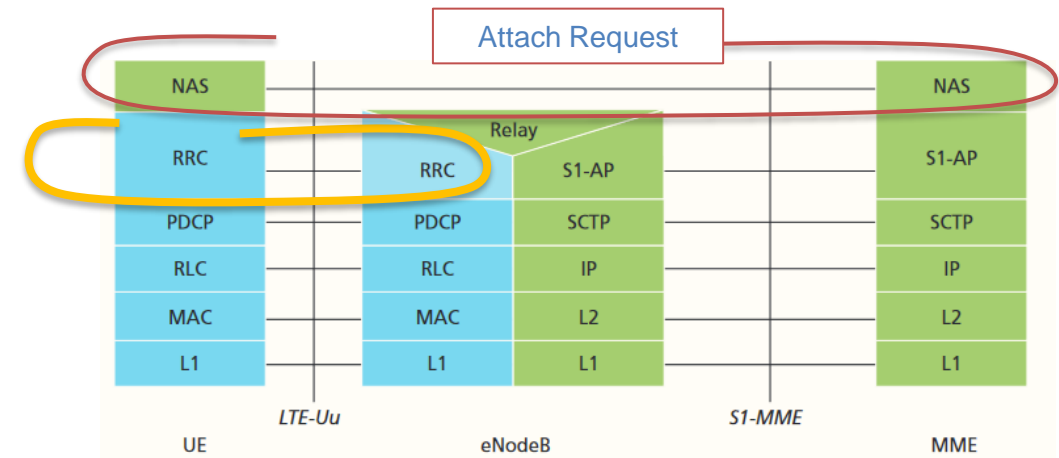
# AS - UE 1st time switched on

- Typically, LTE channels on adjacent cells will be on the same carrier (operating) frequency (in opposite with GSM). This does lead to interference between the cells, particularly at the cell edge, but it is manageable through adaptive coding and modulation
  - Adjacent cells could use different frequencies (if operator has enough spectrum) but that means every hand-over is an inter-frequency hand-over – not desirable. PCI is used to determine the primary and secondary sync signal sequence
1. Scanning - phone scans all the available radio frequencies in the given LTE band/s, the strongest frequency with signal is chosen by using RSRP measurement
  2. Downlink synchronization - UE needs to know PCI and frame timing, done by acquiring synchronization signals. PSS (Primary Synchronization signal) transmitted as the last OFDM symbol of 1st and 11th time slot.
  3. Decoding Broadcast Information - bandwidth info via MIB (Master Information Block), first 4 OFDM symbols of second slot of first sub frame, transmitted every 40ms. Now UE knows how many PRBs (Phy Resource Blocks) are functional. UE reads also SIB1 (System Information Block) to decode eNodeB information (Cell ID, MCC, MNC, TAC, other SIB types mapping)
  4. The Operator selection – PLMN ID comes from SIB. If selection fails (not allowed operator, test cell, etc.), the UE will have to find another LTE cell.
  5. Uplink synchronization - UE undergoes a procedure called RACH (Random Access) to gain access of the resources to start transmission of uplink data – towards eNodeB. Control plane bidir frames can be now exchanged.

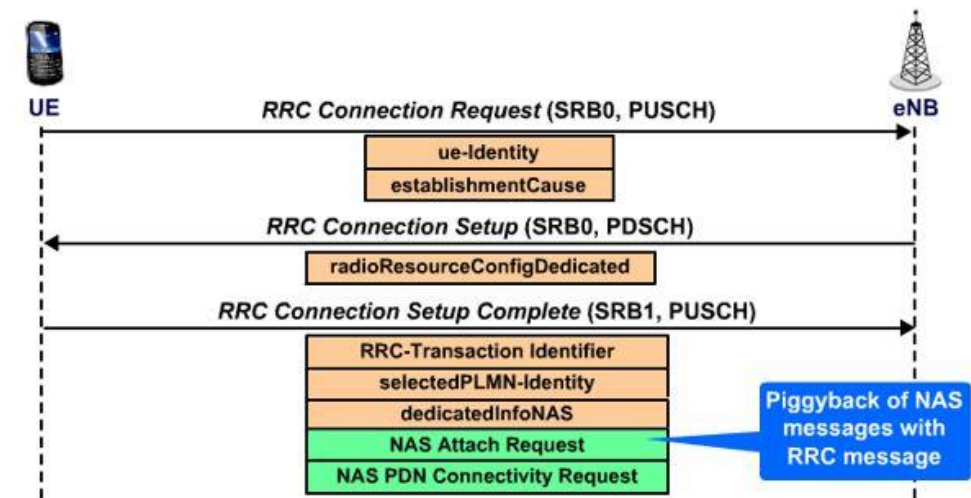
# AS - UE 1st time switched on

## 6. RRC (Radio Resource Control) connection setup

- **RRC Connection Request** - The UE will include in this message its UE identity, and the Establishment cause, for example; *Emergency, Mobile originated Signaling, or Mobile originated Data*
- **RRC Connection Setup** – confirmation from eNB side
- **RRC Connection Setup Complete** message to the eNB to confirm the successful completion of an RRC connection establishment. It includes the selected PLMN identity from the PLMN-Identity list provided in the SIB Type 1 and the first uplink NAS message containers. This includes the piggybacked Attach Request and PDN Connectivity Request messages to be transferred by the eNB to the MME.
  - Attach Request message includes EPS attach type, EPS mobile identity, UE network capability, ESM message container, Old P-TMSI signature, Additional GUTI, Last visited registered TAI, DRX parameter, Old location area identification, Additional update type, Voice domain preference and UE's usage setting etc...



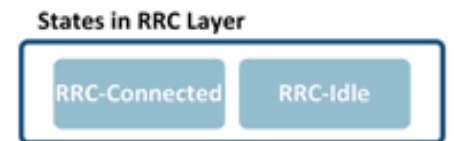
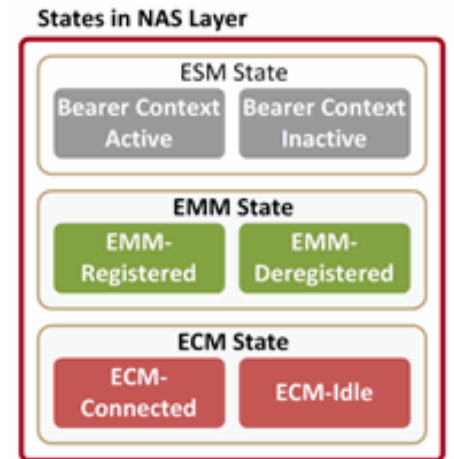
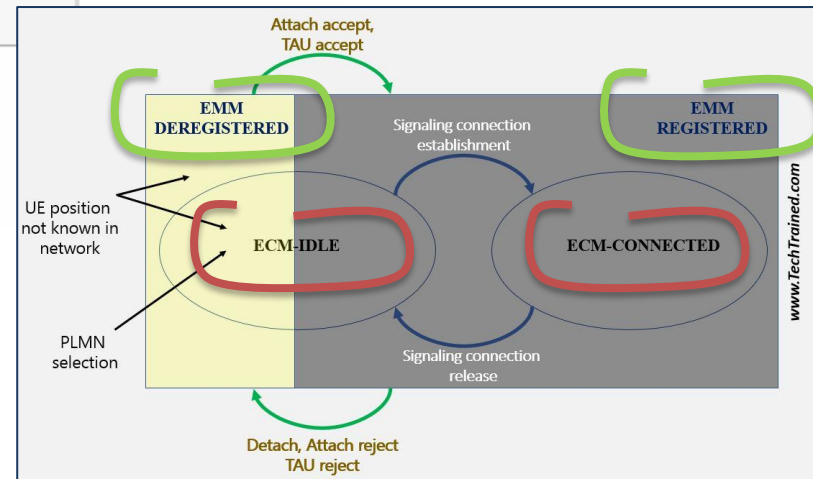
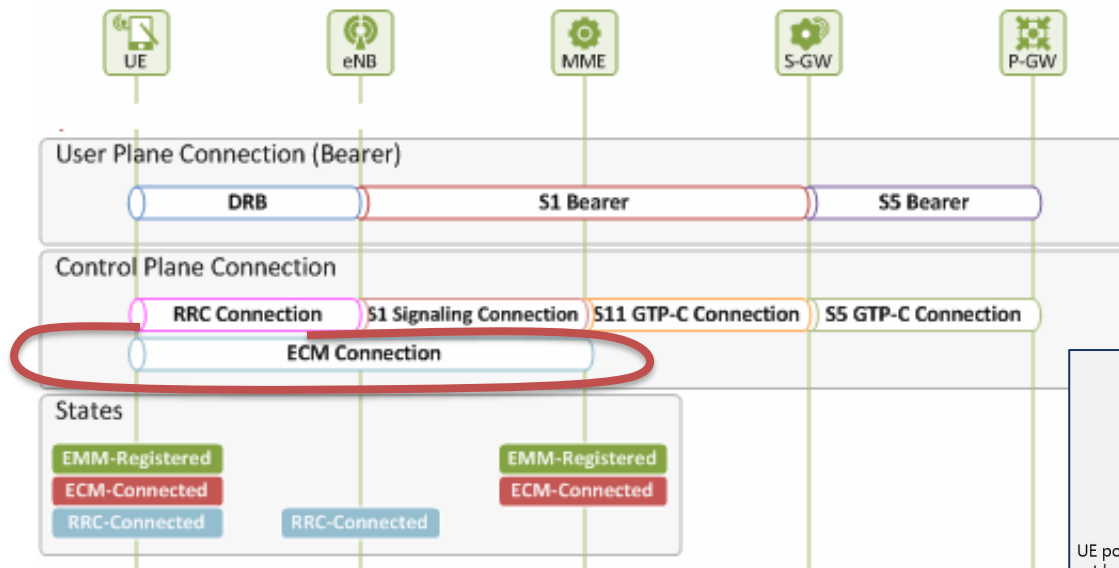
### RRC Connection Establishment



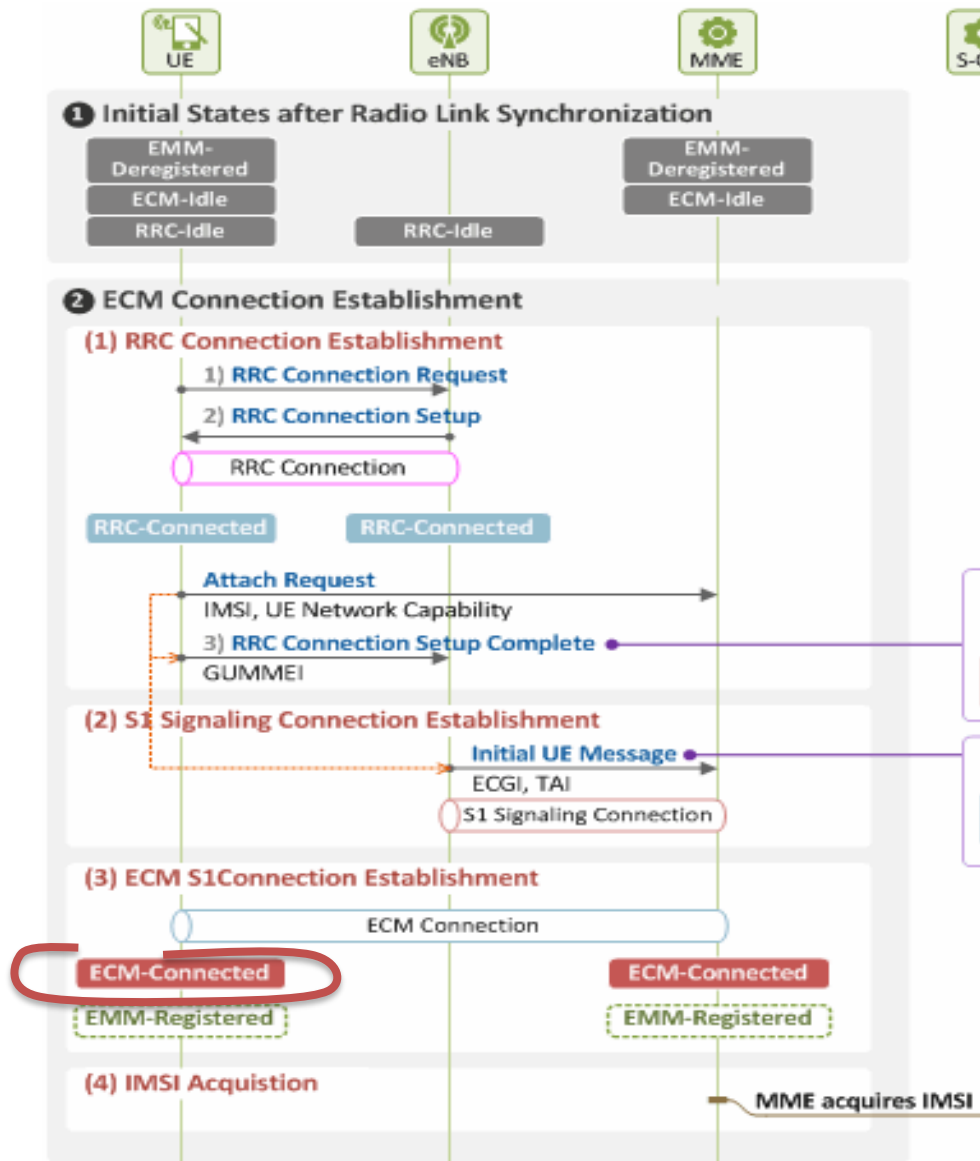


# NAS - Mobility and Connection management

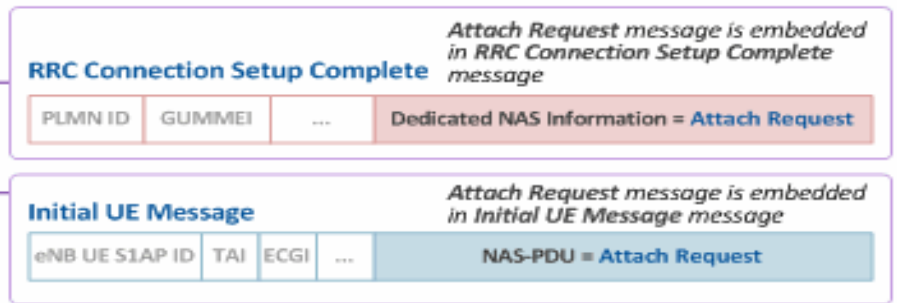
- **ECM** (EPS Connection Management) describes the signaling connectivity state between the UE and the EPC. It can be in 2 states: IDLE and CONNECTED
- **EMM** (EPS Mobility Management) states describe the Mobility Management states that result from the mobility management procedures like Attach / Detach, Paging and TAU (Tracking Area Update) procedures. It ensures if and where UE is reachable by the network and can receive the service.
  - UE can move from DEREGISTERED into REGISTERED state by completing *TAU* or after a successful handover or *Attach* procedure



# 1/5 Initial Attach with IMSI – Attach Request

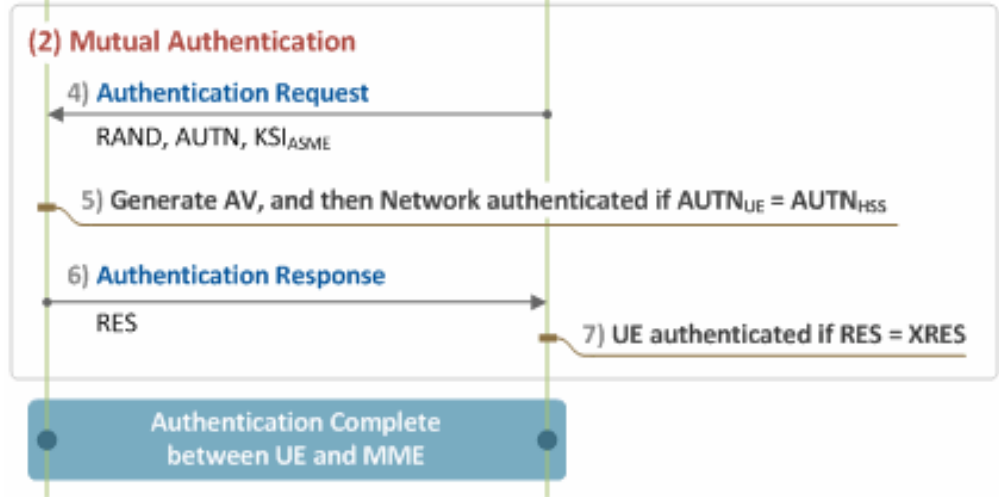
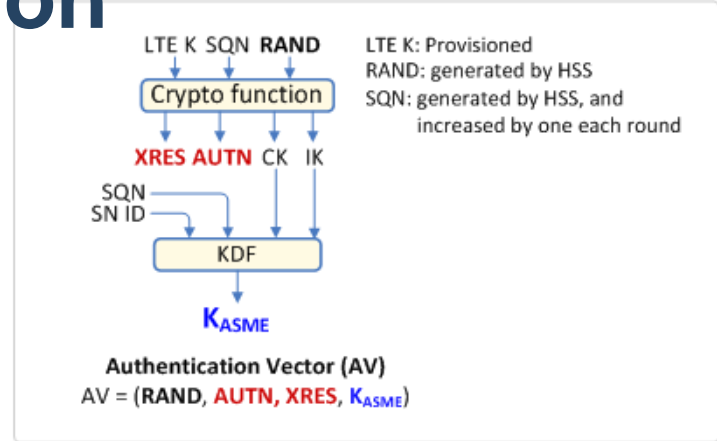
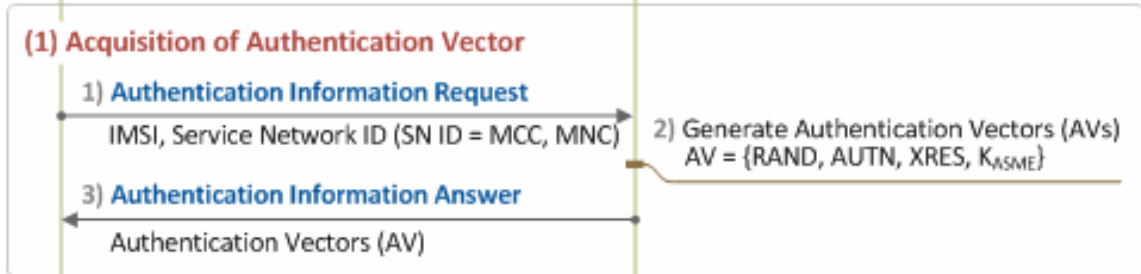


- GUTI (Globally Unique Temporary Identity), allocated by MME to use instead of IMSI, has two main components:
  - GUMMEI (Globally Unique MME Identifier) that uniquely identifies the MME that allocated the GUTI
  - M-TMSI (Temporary Mobile Subscriber Identity)



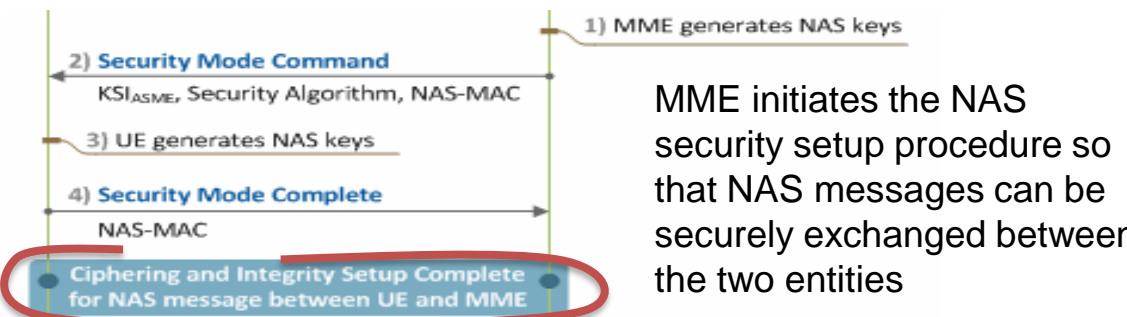
- As part of the UE Attach the eNB will query the iDNS (infrastructure DNS) server using the TAI for the IP address of the MME it should provide to that UE

# 2/5 Initial Attach with IMSI – Authentication



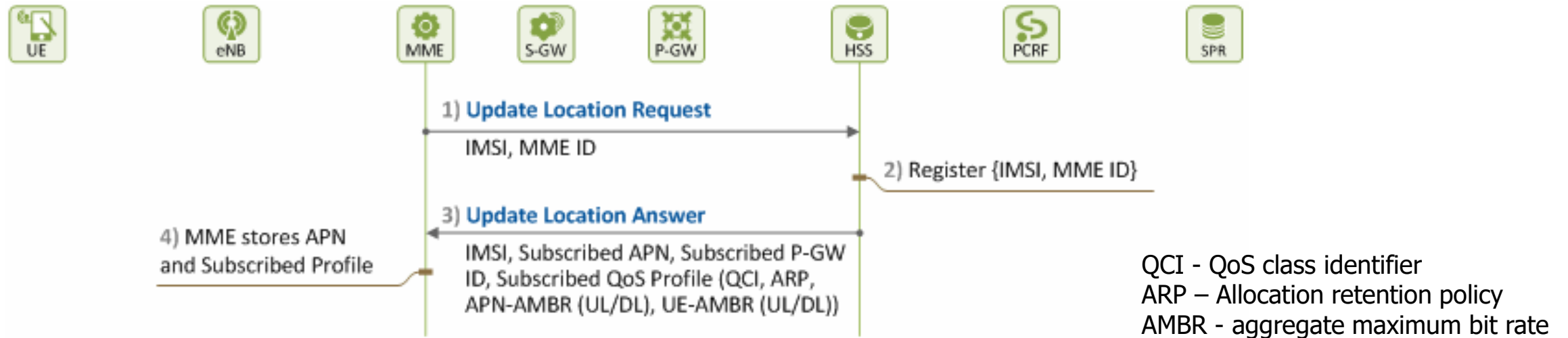
**Authentication Vectors (RAND, AUTN, XRES, K<sub>ASME</sub>)**

- **RAND**: a random number generated by HSS and delivered to UE. The UE uses it when generating its authentication vectors.
- **AUTN**: an authentication token generated by HSS and also delivered to UE. The UE, after generating its authentication vectors, compares the value of this token with that of the token it generates itself for authenticating a network.
- **XRES**: a value generated by HSS. MME keeps this value to itself without sending it to UE, and then later compares it with RES sent by the UE after network authentication to authenticate a user.
- **K<sub>ASME</sub>**: the top-level key in an access network, generated by UE and HSS, and delivered by the HSS to MME for its use in the access network. It serves as a base key of MME and UE when generating NAS security keys.



KDF - Key Derivation Function, HMAC-SHA-256  
KSI<sub>ASME</sub> is an index for K<sub>ASME</sub>

# 3/5 Initial Attach with IMSI – Location Update



- SGW/PGW and its internal (infrastructure) IPv4 address can be provided from HSS database or statically configured on MME
- However, during UE attach the MME can query the iDNS server to select the PDN-GW (Packet Data Network Gateway, PGW) where a requested (subscribed) PDN connectivity (APN) is located. Selection can be based on the information provided to the MME, when the UE attaches to the network.
- It is followed by the PGW selection (but could be also in opposite, SGW selection based on TAC first), the MME query the iDNS server to select an available SGW to serve the UE using the TAC, which in most cases is based on network topology and the location of the UE within the network, so that the best SGW is selected
- Within the EPC network the EPS nodes would access the iDNS servers via O&M interface (can be also Gn in 3G)

# Infrastructure DNS – specific service resolving process

- FQDN (Fully Qualified Domain Name) - is a domain name that specifies its exact location in the tree hierarchy of the Domain Name System (DNS)
  - DNS client performs a single or multiple look up operations in order to get IP address for a service and server
  - The home network domain for EPC will be in the form 'epc.mnc<mnc-val>.mcc<mcc-val>.3gppnetwork.org'
- NAPTR (Name Authority Pointer) DNS record- specifies lookup services for a wide variety of resources names, used to add particular services to a DNS entry, the output is service's FQDN (with exception of "a" knob)

▪ It is common to use simplified S-NAPTR (Straightforward NAPTR) in EPC

▪	apnComm.apn	IN	NAPTR	100 100	"s"	"x-3gpp-pgw:x-s5-gtp"	""	_nodes._pgw
▪	apnName3.apn	IN	NAPTR	100 100	"a"	"x-3gpp-pgw:x-s5-gtp"	""	topon.s5-pgw.nodeName1

▪ only "S", "A" or "" flags are allowed with S-NAPTR. "S" means next query is SRV, "A" means skip SRV and proceed with A record

- SRV (Service) DNS record - clients can ask for a specific service/protocol for a specific domain and gets back the names of any available servers, the output is server's FQDN

▪	_nodes._pgw	1800	IN	SRV	20 100 2123	topon.pgw.nodeName1	Round Robin Selection
▪	_nodes._pgw	1800	IN	SRV	20 100 2123	topon.pgw.nodeName2	

- A records (or 'Address Records') returns an IPv4 address a specific domain name

▪	topon.pgw.nodeName1	IN A	10.1.1.1
▪	topon.pgw.nodeName2	IN A	10.2.2.2

# Infrastructure DNS - example

## ;TAI S-NAPTR

### ;TA which exist within the North or South region

#### ;North:

```
tac-lb01.ac-hb00.tac IN NAPTR 100 100 "s" "x-3gpp-sgw:x-s5-gtp" "" _sgw._north
tac-lb02.tac-hb00.tac IN NAPTR 100 100 "s" "x-3gpp-sgw:x-s5-gtp" "" _sgw._north
tac-lb03.tac-hb00.tac IN NAPTR 100 100 "s" "x-3gpp-sgw:x-s5-gtp" "" _sgw._north
```

#### ;South:

```
tac-lb044.tac-hb00.tac IN NAPTR 100 100 "s" "x-3gpp-sgw:x-s5-gtp" "" _sgw._south
tac-lb045.tac-hb00.tac IN NAPTR 100 100 "s" "x-3gpp-sgw:x-s5-gtp" "" _sgw._south
```

## ;APN S-NAPTR

### ;APN's which exist only on one PGW use NAPTR "a" flag

#### ;North:

```
apnName1.apn IN NAPTR 100 100 "a" "x-3gpp-pgw:x-s5-gtp" "" topon.s5-pgw.nodeName1.site1.north
apnName2.apn IN NAPTR 100 100 "a" "x-3gpp-pgw:x-s5-gtp" "" topon.s5-pgw.nodeName2.site2.north
```

#### ;South:

```
apnName3.apn IN NAPTR 100 100 "a" "x-3gpp-pgw:x-s5-gtp" "" topon.s5-pgw.nodeName3.site3.south
apnName4.apn IN NAPTR 100 100 "a" "x-3gpp-pgw:x-s5-gtp" "" topon.s5-pgw.nodeName4.site4.south
```

### ;Common APN on all PGW's use NAPTR "s" flag

```
apnComm.apn IN NAPTR 100 100 "s" "x-3gpp-pgw:x-s5-gtp" "" _nodes._pgw
```

## SRV Records for SGW

#### ;North

```
_sgw._north 1800 IN SRV 20 100 2123 topon.s5-sgw.nodeName1.site1.north
_sgw._north 1800 IN SRV 20 100 2123 topon.s5-sgw.nodeName2.site2.north
```

#### ;South

```
_sgw._south 1800 IN SRV 20 100 2123 topon.s5-sgw.nodeName3.site3.south
_sgw._south 1800 IN SRV 20 100 2123 topon.s5-sgw.nodeName4.site4.south
```

## ;SRV Records for PGW

### ;PGW, Equal weight for common apn's TTL 1800. Port gtp-c v2 is 2123

```
_nodes._pgw 1800 IN SRV 20 100 2123 topon.s5-pgw.nodeName1.site1.north
_nodes._pgw 1800 IN SRV 20 100 2123 topon.s5-pgw.nodeName2.site2.north
_nodes._pgw 1800 IN SRV 20 100 2123 topon.s5-pgw.nodeName3.site3.south
_nodes._pgw 1800 IN SRV 20 100 2123 topon.s5-pgw.nodeName4.site4.south
```

## A records for SGW

### ;S11 addresses of SGWs that support GTP based S5 interfaces.

```
topon.s5-sgw.nodeName1.site1.north IN A 10.5.5.5
topon.s5-sgw.nodeName2.site2.north IN A 10.6.6.6
topon.s5-sgw.nodeName3.site3.south IN A 10.7.7.7
topon.s5-sgw.nodeName4.site4.south IN A 10.8.8.8
```

## ;A records for PGW

### ;S5 address of PGWs that support GTP based S5 interfaces.

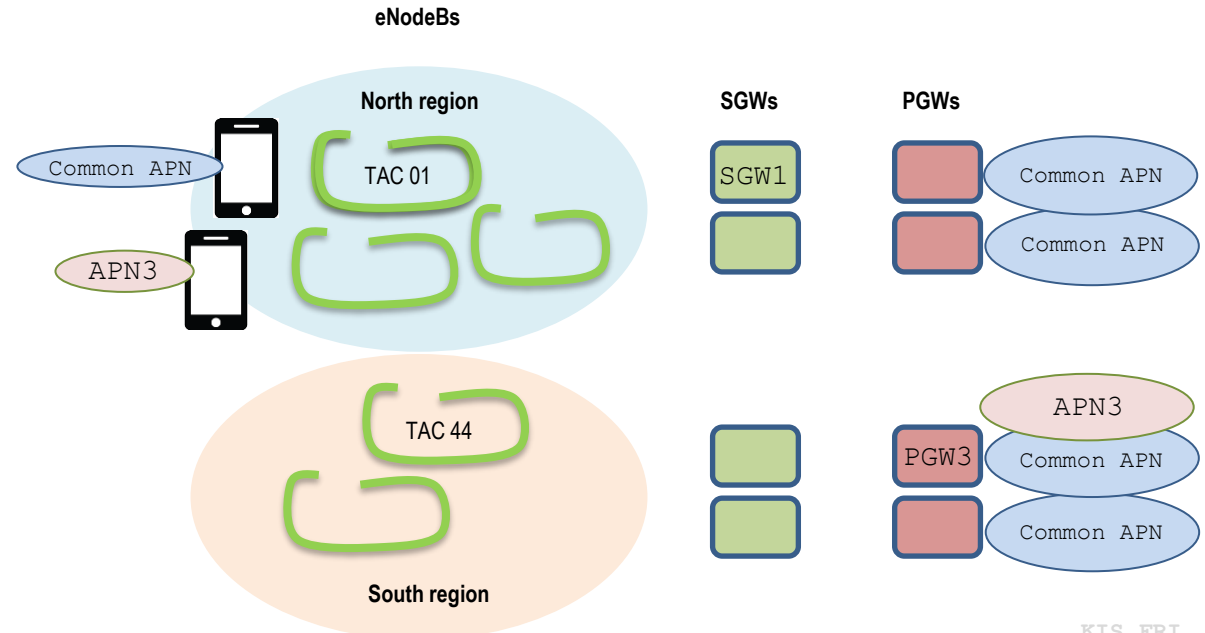
```
topon.s5-pgw.nodeName1.site1.north IN A 10.1.1.1
topon.s5-pgw.nodeName2.site2.north IN A 10.2.2.2
topon.s5-pgw.nodeName3.site3.south IN A 10.3.3.3
topon.s5-pgw.nodeName4.site4.south IN A 10.4.4.4
```

Direct mapping

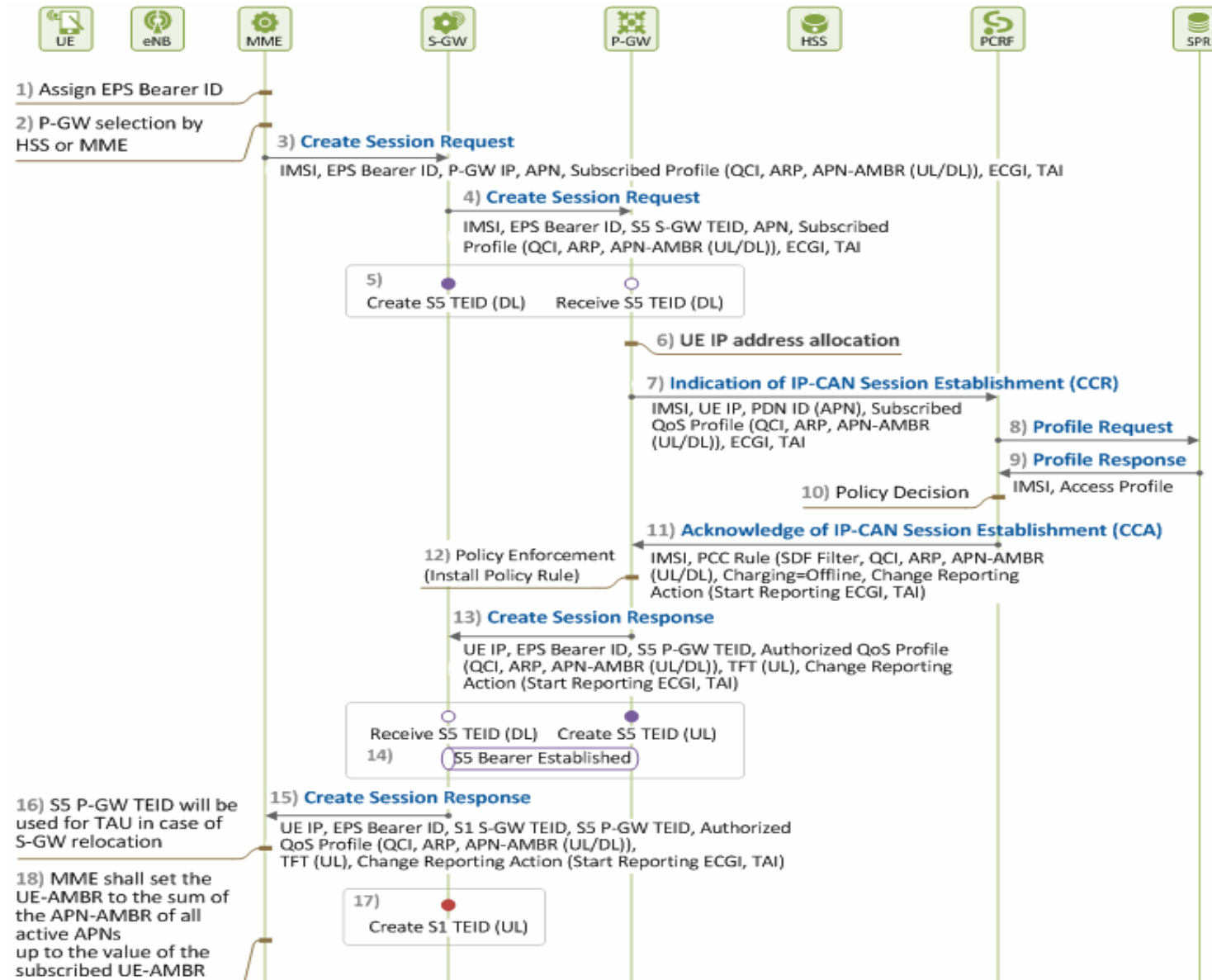
"a" flag means skip SRV part

Round Robin Selection

Round Robin Selection



# 4/5 Initial Attach with IMSI – default session/bearer setup



1. IP address allocation on PGW could be done via Diameter, Radius or from a local pool
2. IP-CAN (IP Connectivity Access Network) request – type of the connectivity for the subscriber (traffic attributes)
  - CCR Credit Control Request
  - CCA Credit Control Answer
3. PCRF provides PCC (Policy and Charging Control) rules
4. SPR (Subscription Profile Repository)
5. QCI (QoS Class Identifier) per single bearer, APN-AMBR (Aggregate Maximum Bitrate) per the same APN bearers, UE-AMBR per all bearers/single subscriber
6. ARP - Allocation and Retention Priority

## Notes:

- UE-AMBR will be lowest of either pre-configured UE-AMBR via HSS or Sum of all APN-AMBRs for that subscriber.
- For LTE following protocols are used GTPv2-C & GTPv1-U

# Wireshark – Create Session RESPONSE part 1

```
▷ Frame 4: 223 bytes on wire (1784 bits), 223 bytes captured (1784 bits) on interface 0
▷ Ethernet II, Src: Superlan_01:00:0a (00:00:01:01:00:0a), Dst: TimetraN_57:53:85 (00:03:fa:57:53:85)
▷ 802.1Q Virtual LAN, PRI: 7, DEI: 0, ID: 1
▷ Internet Protocol Version 4, Src: 50.50.50.1, Dst: 10.207.5.2
▷ User Datagram Protocol, Src Port: 2123, Dst Port: 2123
▲ GPRS Tunneling Protocol V2
  ▷ Flags: 0x48
    Message Type: Create Session Response (33)
    Message Length: 173
    Tunnel Endpoint Identifier: 0x000f4240 (1000000)
    Sequence Number: 0x00000001 (1)
    Spare: 0
  ▷ Cause : Request accepted (16)
  ▷ Recovery (Restart Counter) : 1
  ▷ Protocol Configuration Options (PCO) :
    ▷ Fully Qualified Tunnel Endpoint Identifier (F-TEID) : S11/S4 SGW GTP-C interface, TEID/GRE Key: 0xfe100800, IPv4 50.50.50.1
    ▷ Fully Qualified Tunnel Endpoint Identifier (F-TEID) : S5/S8 PGW GTP-C interface, TEID/GRE Key: 0xfe100800, IPv4 50.50.50.1
    ▷ APN Restriction : value 0
  ▲ PDN Address Allocation (PAA) :
    IE Type: PDN Address Allocation (PAA) (79)
    IE Length: 22
    0000 .... = CR flag: 0
    .... 0000 = Instance: 0
    .... .011 = PDN Type: IPv4/IPv6 (3)
    IPv6 Prefix Length: 64
    PDN Address and Prefix(IPv6): 20012001200100010000000000000000
    PDN Address and Prefix(IPv4): 180.0.0.1
```



# Wireshark – Create Session RESPONSE part 2

- ▲ Aggregate Maximum Bit Rate (AMBR) :
  - IE Type: Aggregate Maximum Bit Rate (AMBR) (72)
  - IE Length: 8
  - 0000 .... = CR flag: 0
  - .... 0000 = Instance: 0
  - AMBR Uplink (Aggregate Maximum Bit Rate for Uplink): 1234
  - AMBR Downlink(Aggregate Maximum Bit Rate for Downlink): 4321
- ▲ Bearer Context : [Grouped IE]
  - IE Type: Bearer Context (93)
  - IE Length: 50
  - 0000 .... = CR flag: 0
  - .... 0000 = Instance: 0
  - ▷ EPS Bearer ID (EBI) : 5
  - ▷ Cause : Request accepted (16)
  - ▷ Fully Qualified Tunnel Endpoint Identifier (F-TEID) : S1-U SGW GTP-U interface, TEID/GRE Key: 0xfe100805, IPv4 50.50.50.1
  - ▲ Bearer Level Quality of Service (Bearer QoS) :
    - IE Type: Bearer Level Quality of Service (Bearer QoS) (80)
    - IE Length: 22
    - 0000 .... = CR flag: 0
    - .... 0000 = Instance: 0
    - .0.. .... = PCI (Pre-emption Capability): Enabled
    - ..00 01.. = PL (Priority Level): 1
    - .... ..0 = PVI (Pre-emption Vulnerability): Enabled
    - Label (QCI): 8
    - Maximum Bit Rate For Uplink: 0
    - Maximum Bit Rate For Downlink: 0
    - Guaranteed Bit Rate For Uplink: 0
    - Guaranteed Bit Rate For Downlink: 0

Offline charging, a user is charged for the network resources that he already used, the network reports the resource usage by the particular user by forwarding his CDR (Charging Data Record) to its billing domain.

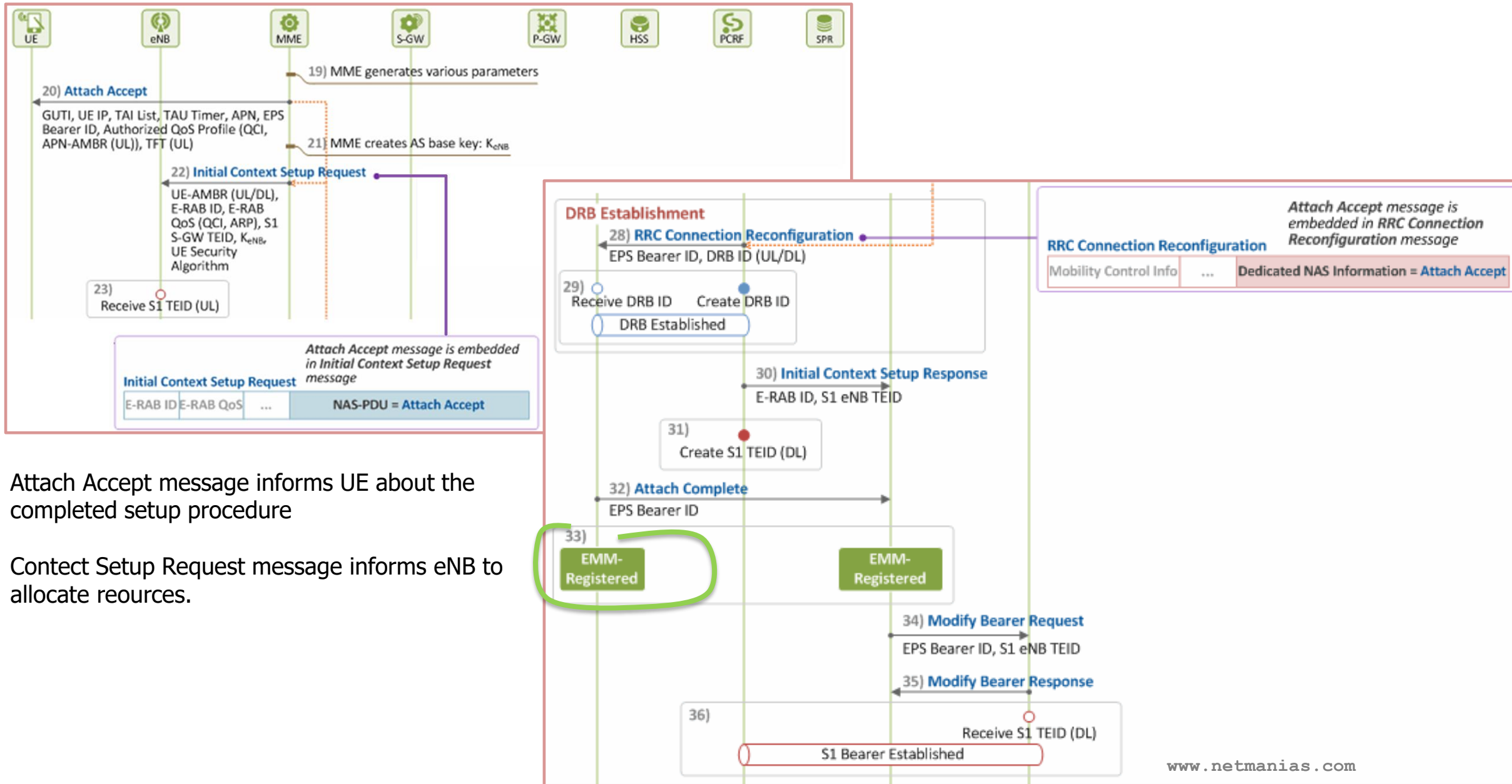
Online charging means real time monitoring

PCRF determines a PCC rule for each SDF (Service Data Flow) based on the operator's policy (e.g. QoS policy, gate status, charging methods, etc.)

## PCC - Policy and Charging Control

Default Bearer QoS	Policy Rule Name	SDF Template	SDF GBR	SDF MBR	SDF QCI/ARP	SDF Gating Status	SDF Charging
<b>Default Bearer (APN: Internet)</b> • QCI=9 • ARP=7 • APN-AMBR(UL)=Unlimited • APN-AMBR(DL)=Unlimited	• "Internet"	• UL: (UE IP, *,*,*) • DL: (*,UE IP, *,*)	-	• UL: Unlimited • DL: Unlimited	• QCI=9 • ARP=7	• Open (permit)	• Offline
<b>Default Bearer (APN: IMS)</b> • QCI=5 • ARP=6 • APN-AMBR(UL)=100Kbps • APN-AMBR(DL)=100Kbps	• "Voice-C"	• UL: (UE IP, *, SIP, *, UDP) • DL: (*, UE IP, SIP, *, UDP)	-	• UL: 100Kbps • DL: 100Kbps	• QCI=5 • ARP=6	• Open (permit)	• Offline
<b>Dedicated Bearer (APN: IMS)</b> • QCI=1 • ARP=7 • GBR/MBR(UL)=88Kbps • GBR/MBR(DL)=88Kbps	• "Voice-U"	• UL: (UE IP, *, RTP, *, UDP) • DL: (*, UE IP, RTP, *, UDP)	• UL: 88Kbps • DL: 88Kbps	• UL: 88Kbps • DL: 88Kbps	• QCI=1 • ARP=7	• Open (permit)	• Offline

# 5/5 Initial Attach with IMSI – Attach Accept & Context Req



Attach Accept message informs UE about the completed setup procedure

Context Setup Request message informs eNB to allocate resources.

# Signaling states & Data bearer states

Case	State	UE	eNB	S-GW	P-GW	MME	HSS	PCRF	SPR
A	EMM-Deregistered + ECM-Idle + RRC-Idle	-	-	-	-	-	-	-	-
B	EMM-Deregistered + ECM-Idle + RRC-Idle	-	-	-	-	TAI of last TAU	MME	-	-
C	EMM-Registered + ECM-Connected + RRC-Connected	-	Cell/eNB	Cell/eNB	Cell/eNB	Cell/eNB	MME	Cell/eNB	-
D	EMM-Registered + ECM-Idle + RRC-Idle	-	-	TAI of last TAU	TAI of last TAU	TAI of last TAU	MME	TAI of last TAU	-

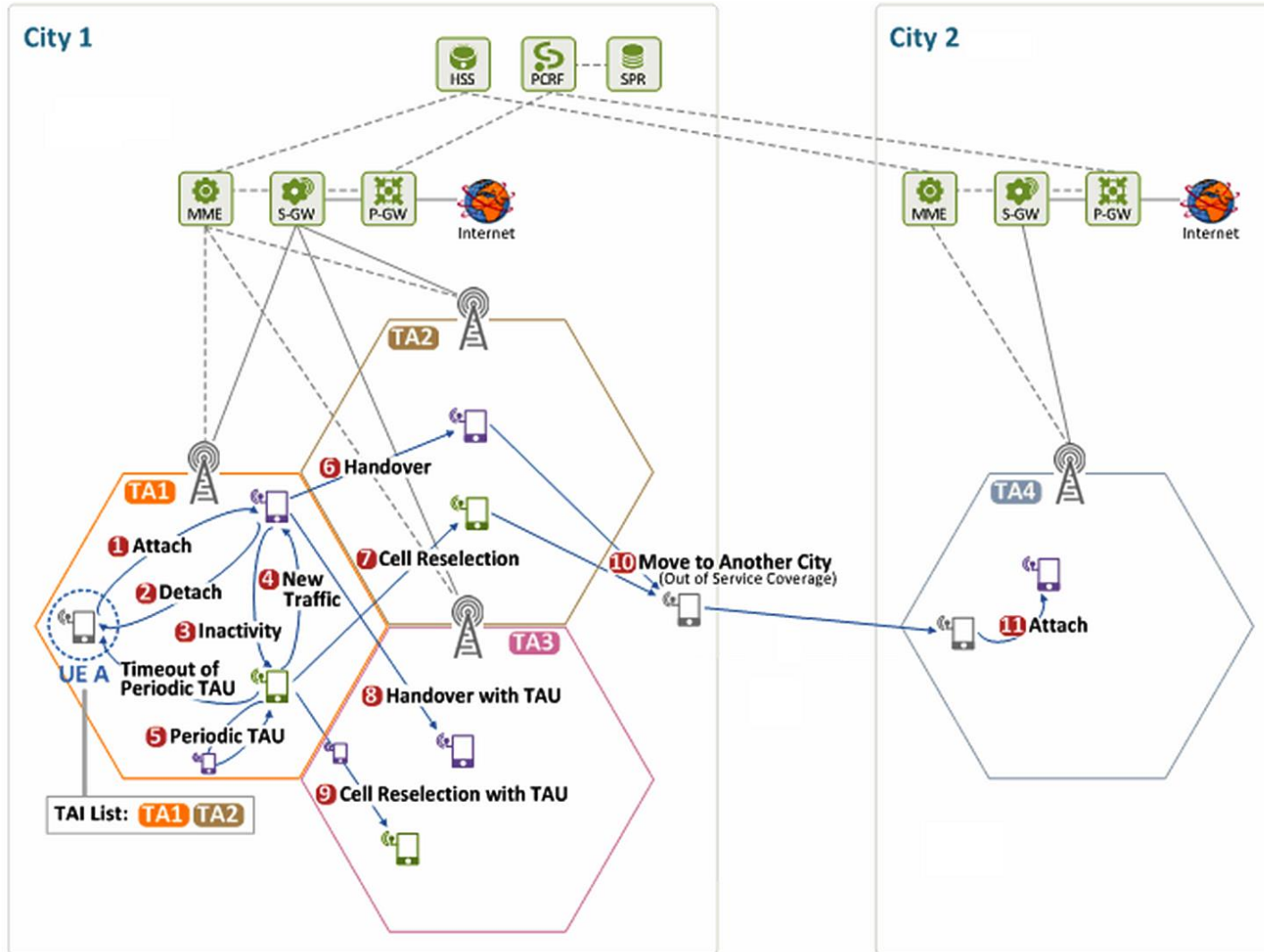
- PLMN or Cell selection process ongoing
- MME may have Tracking AREA info last reported by UE
- Possible handover (X2, intra-eNB or S1 handover), TAU message sent by UE if TA change
- Possible cell re-selection, TAU message sent by UE when TA change, PAGING control, if no mobility then periodic TAU

Case	State	UE	eNB	S-GW	P-GW	MME	HSS	PCRF	SPR
A	EMM-Deregistered + ECM-Idle + RRC-Idle	IMSI	-	-	-	-	IMSI	-	IMSI
B	EMM-Deregistered + ECM-Idle + RRC-Idle	IMSI, GUTI	-	-	-	IMSI, GUTI	IMSI	-	IMSI
C	EMM-Registered + ECM-Connected + RRC-Connected	IMSI, GUTI, UE IP addr, C-RNTI	C-RNTI, eNB/MME UE S1AP ID, Old/New eNB UE X2AP ID	IMSI	IMSI, UE IP addr	IMSI, GUTI, UE IP addr, eNB/MME UE S1AP ID	IMSI	IMSI, UE IP addr	IMSI
D	EMM-Registered + ECM-Idle + RRC-Idle	IMSI, GUTI, UE IP addr	-	IMSI	IMSI, UE IP addr	IMSI, GUTI, UE IP addr	IMSI	IMSI, UE IP addr	IMSI

To save battery life and resources of UE - it goes into IDLE mode after some time. eNB's inactivity timer expires (typical 10 sec) and eNB shuts down RRC connection. It does not release the default bearer (state D -> EMM registered) , it just release the air interface and S1 bearer (ECM / RRC idle). When required it can be re-connected.

PAGING - when a UE is attached to the network, but in idle state (state D), if there is user traffic to deliver, the network (MME->EMM) initiates PAGING message to wake up the UE, consequently transiting the UE's state to state C. The paging is conducted (eNodeB notifies all TAs cells) based on the Tracking Area Identifier (TAI) information provided by the UE during its last TA update. UE sends Service Request

# Handover examples



1. Initial attach
2. Detach
3. Air/S1 release due to inactivity (~10sec) but still periodically listens to PAGING messages (wakes up each 1280 msec)
4. PAGING/Service request due to new traffic
5. UE is not moving, performs periodic TAU updates, active data or reestablishes ECM/RRC (54min)
6. Handover without TAU (TAI List), UE moves in "connected or data active" state
7. Cell reselection without TAU, UE moves in IDLE state
8. Handover with TAU (see 6)
9. Cell reselection with TAU (see 7)
10. No coverage, EMM deregistered
11. Initial attach

Intra-MME or Intra-S-GW Handover: Neither UE's serving MME nor S-GW is changed after handover, also called X1 handover

Inter-LTE Handover: UE's serving MME and/or S-GW is changed

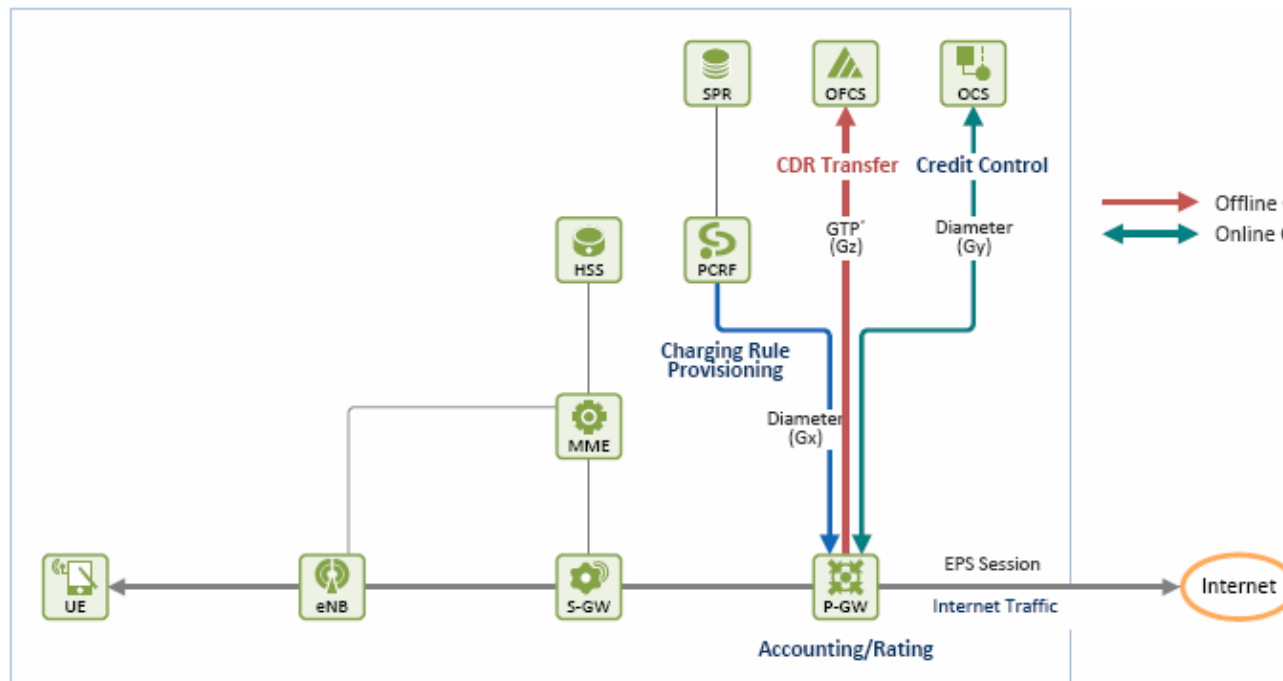
Inter-RAT Handover: Handover between networks that use different radio access technology

- EMM-Deregistered + ECM-Idle + RRC-Idle
- EMM-Registered + ECM-Connected + RRC-Connected
- EMM-Registered + ECM-Idle + RRC-Idle

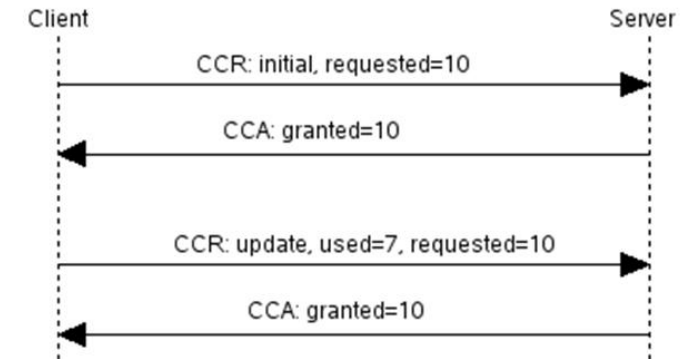
- User Plane Path
- Control Plane Path

# Offline and Online charging

- Offline charging, a user is charged for the network resources that he already used, the network reports the resource usage by the particular user by forwarding his CDR (Charging Data Record) generated by PGW to its billing domain via Gz interface.
- Online charging means real time monitoring. Uses Diameter protocol over Gy interface.
  - In online charging PGW requests and obtains a quota first, and then measures and reports the subscriber's usage by performing credit control with OCS (Online Charging System)



→ Offline Charging  
← Online Charging



## Data Record Transfer Request (CDR)

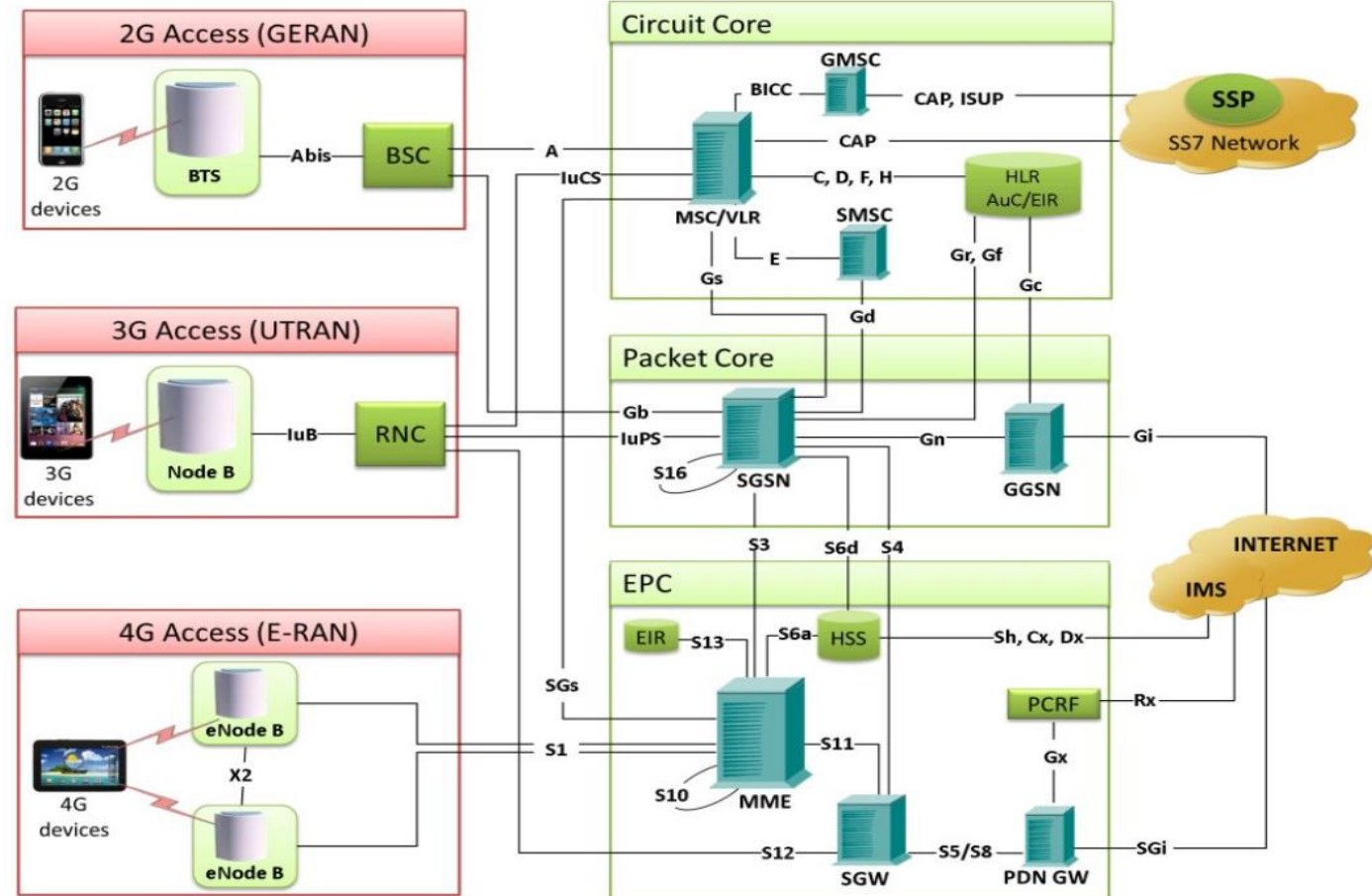
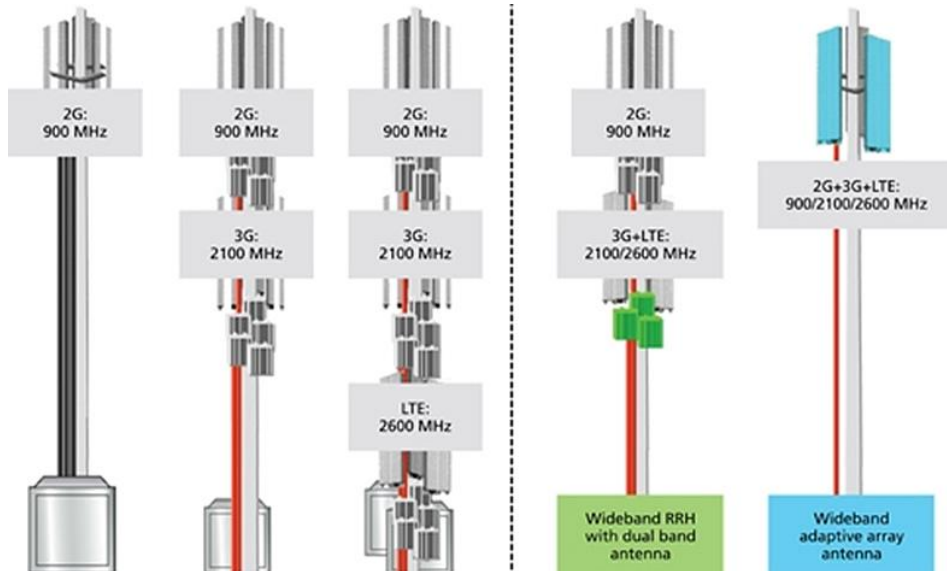
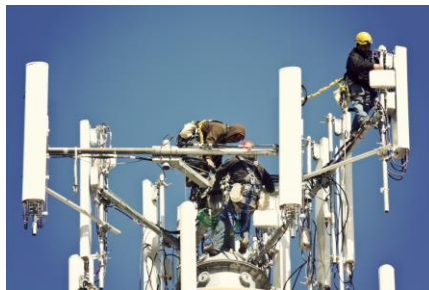
Subscription ID	IMSI	List of Service Data	
Serving Network ID	PLMN ID (MCC+MNC)	Rating Group	rgInternet
APN Network	Internet	Time of First Usage	18:01:53
Duration	1462 seconds	Time of Last Usage	18:47:31
PDN Type	IPv4	Time Usage	1462 seconds
Served PDN addr	10.25.200.1 (UE IP)	Data Volume (UL)	1.54MB
Start Time	18:01:53	Data Volume (DL)	194.98MB
End Time	18:47:31	QoS Information	QCI, ARP, ...
User Location	ECGI, TAI		

**CDR**



# LTE co-existence with 2G / 3G, evolution to Single RAN

- Co-existence of different RATs (Radio Access Technology) and hand-over in between different RAT (so called inter-RAT handover in between 2G, 3G, LTE) of the same operator is necessary.
- Single Radio Access Network (SRAN) involves deploying GSM/UMTS/LTE functionality in a single base station unit (single HW), and hence reduces the operator's Total Cost of Ownership (TCO).





## 5G / NR New Radio

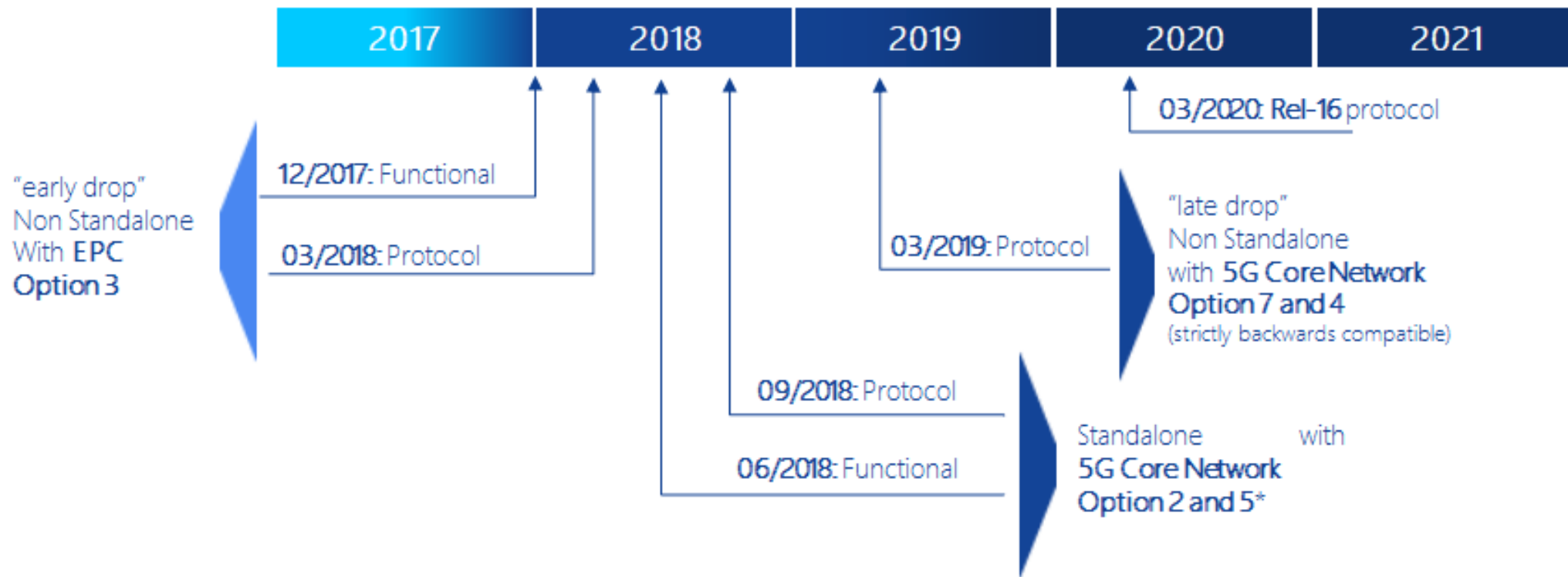
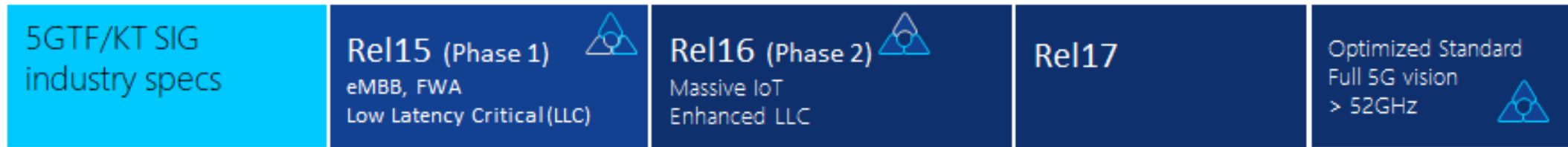
**5G NR**



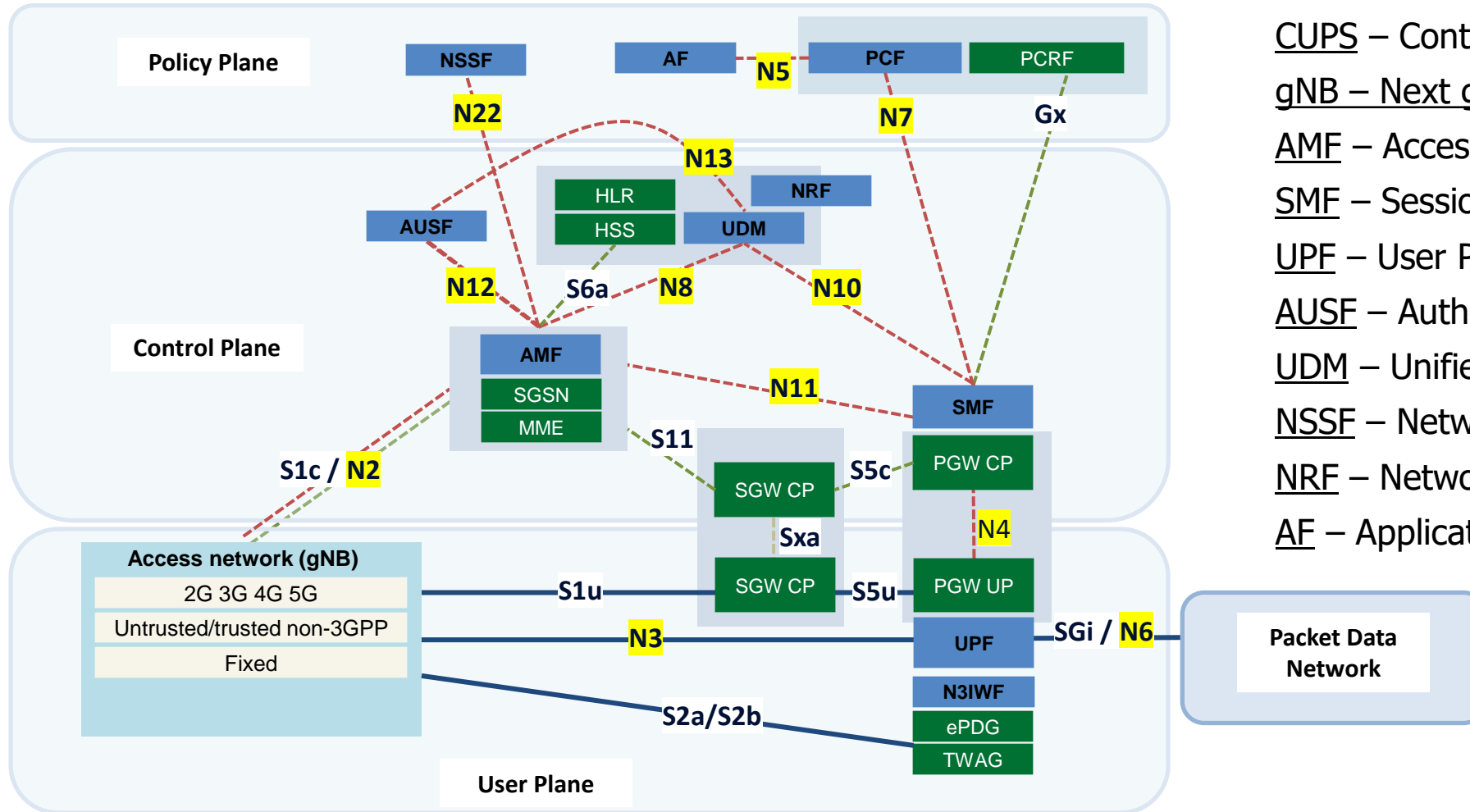
# Frequency bands for 5G and 5G characteristics

- IMT-2020 (International Mobile Telecommunications) - common vision and requirements for 5G networks, issued by the ITU Radiocommunication Sector (ITU-R) in 2015
- 5G for Europe: An Action Plan, 2016
  - ✓ <https://ec.europa.eu/transparency/regdoc/rep/1/2016/EN/1-2016-588-EN-F1-1.PDF>
- 3GPP R15, R16, R17
  - ✓ Release 15 (2018) – the first definition of NR (New Radio)
- Three key frequency bands for 5G in EU
  - ✓ 700 MHz, 3.4-3.8 GHz a 24.25-27.5 GHz
- Harmonization of frequency bands worldwide:
  - ✓ ITU, World Radio Conference 2019 (WRC-19), 3,400 delegates from around 165 Member States
  - ✓ Additional possible bands identified to enable standardized 5G (IMT-2020) deployments
  - ✓ 24.25-27.5 GHz, 37-43.5 GHz, 45.5-47 GHz, 47.2-48.2 and 66-71 GHz
- Frequency bands for 5G summary:
  - ✓ [https://en.wikipedia.org/wiki/5G\\_NR\\_frequency\\_bands](https://en.wikipedia.org/wiki/5G_NR_frequency_bands)
- 5G supports up to 1G speeds, allows new services with e2e KPIs
- Service-Based Architecture (SBA) - the control plane elements operate as VNFs (Virtualized Network Functions). Communication between VNFs is based on RESTful based API exchange, allowing a given VNF to offer “services” to other VNFs

# 3GPP Standardization



# 4G and 5G core functions with CUPS architecture



CUPS – Control and User Plane Separation

gNB – Next generation NodeB

AMF – Access and Mobility Function

SMF – Session Management Function

UPF – User Plane Function

AUSF – Authentication Server Function

UDM – Unified Data Management

NSSF – Network Slice Selection Function

NRF – Network Repository Function

AF – Application Function

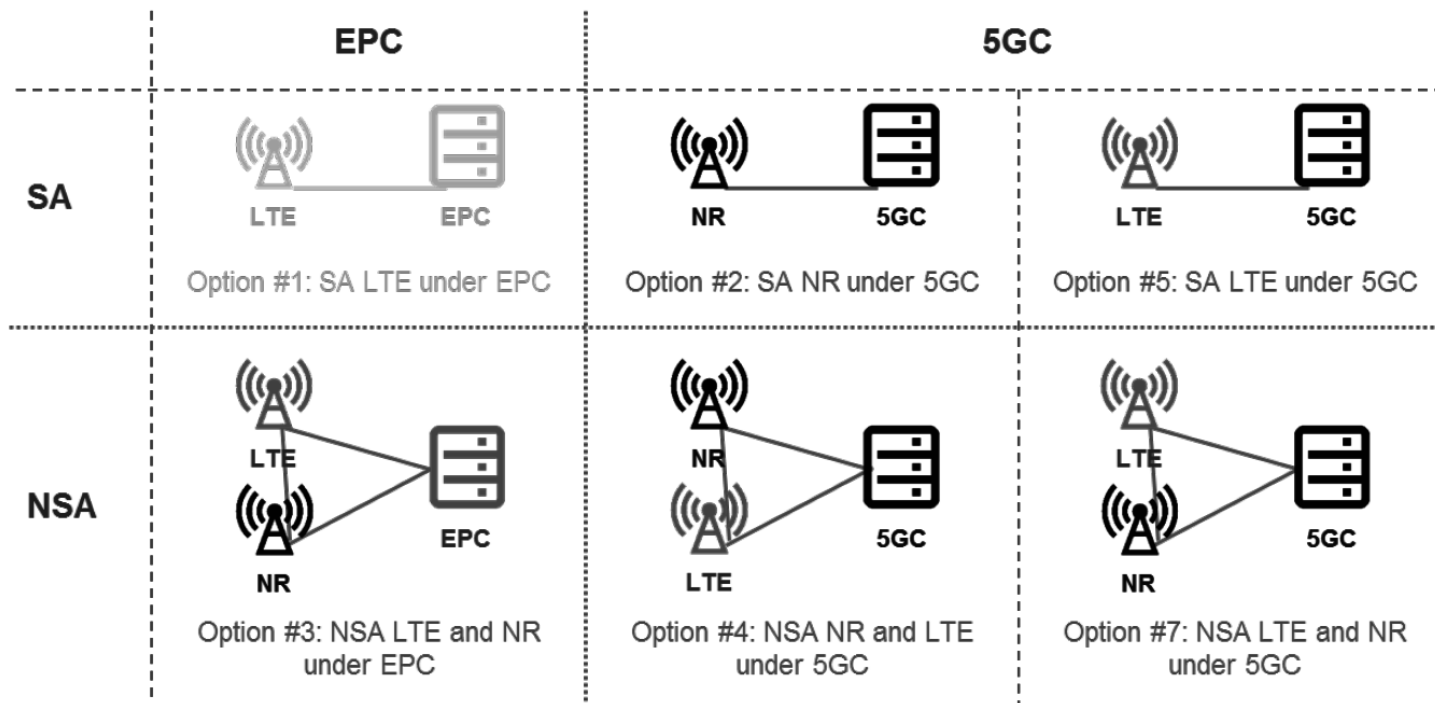
CUPS is essential to 5G networks because it allows operators to separate the evolved packet core (EPC) into a control plane that can sit in a centralized location and for the user plane to be placed closer to the application it is supporting. Also, it allows flexible scaling according to the signalling and traffic needs, control plane and data plane can be re-dimensioned in separate steps.

# 5G Network Functions

- AMF – Access and Mobility Function - Unique 5GC (5G Core) entity handling signaling, access control and mobility management
- SMF – Session Management Function - Unique 5GC entity handling session management for all access types, control plane for UPF
- UPF – User Plane Function - No SGW convergence point, no predefined roles (SGW-u, PGW-u), flexible entity embracing functions per need, use case or slice
- UDM – Unified Data Management – the database that stores subscription-related data
- AUSF – Authentication Server Function - receives authentication requests from the AMF and interacts with UDM to obtain information about UE and subscriber
- NSSF – Network Slice Selection Function - can be used by the AMF to assist with the selection of the Network Slice instances, NSSF may be used to allocate an appropriate AMF if the current AMF is not able to support all network slice instances for a given UE and the service requested
- NRF – Network Repository Function – allows 5G to nodes discover each other, maintains updated records/profiles of services provided by NFs (network functions)
- AF – Application Function - establishes the quality of service and potentially some charging aspects for a service, kind of controller for a specific application

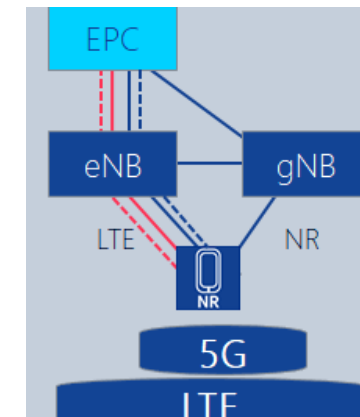
# Challenges in deploying a 5G network

- In reality, subscribers may be slow to migrate since they have to invest in 5G-capable handsets
- Heavy investments into the new architecture, they may wait for 5G spectrum auctions, they may want to offer 5G services on 4G licensed spectrum
- 5G gives a flexibility in deployment scenarios, can be combined with 4G technology
  - Standalone (SA) option: uses only one radio access technology, either LTE radio or 5G NR. Both control and user planes go through the same RAN element
  - Non-Standalone (NSA): multiple radio access technologies are combined, control plane goes through what's called the master node whereas data plane is split across the master node and a secondary node

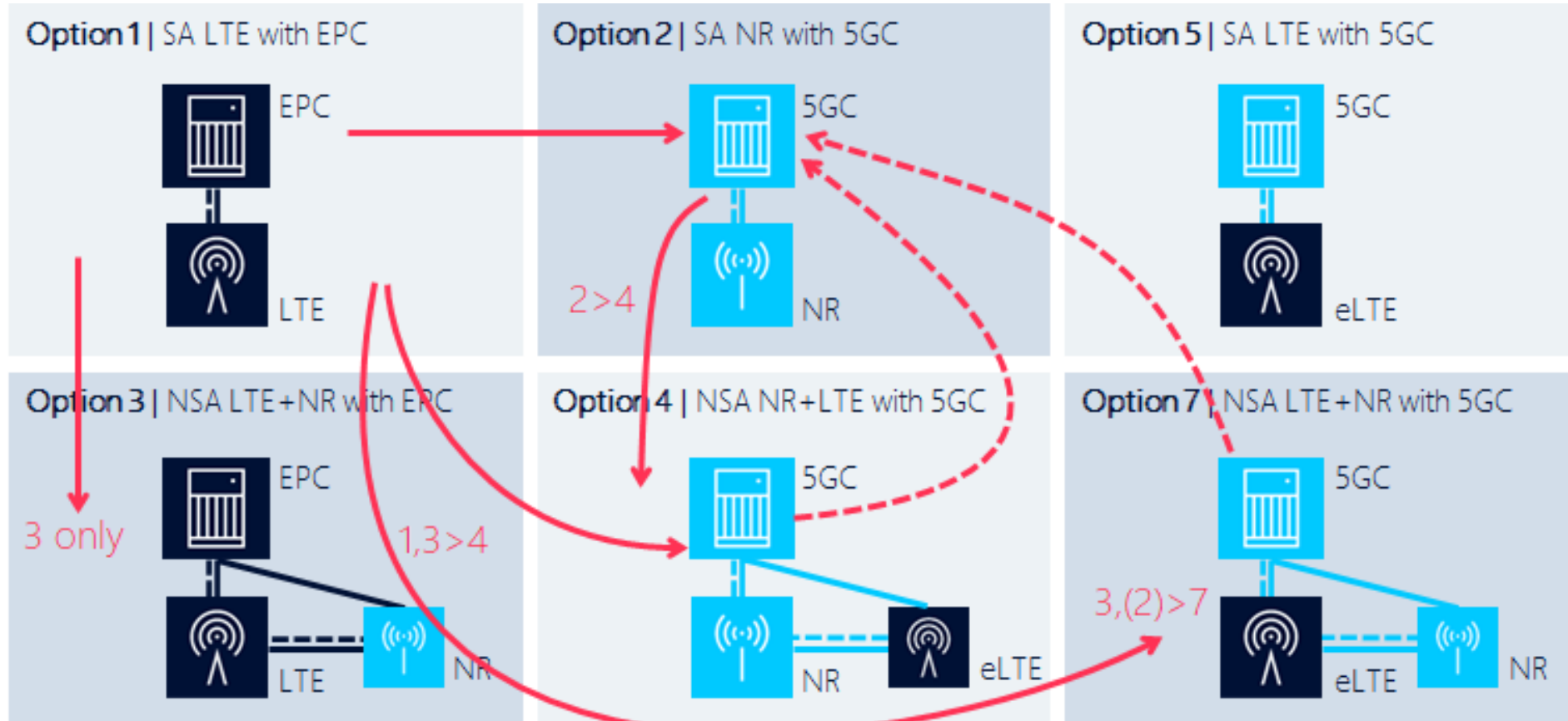


## NSA Option 3x:

- LTE as a primary network, including all signaling
- eNB decides, which traffic can be moved to NR
- Secondary (NR) may split one or more of these bearers and forward to Master (LTE)



# Network evolution – migration paths



Nokia

# End to End slicing for 5G

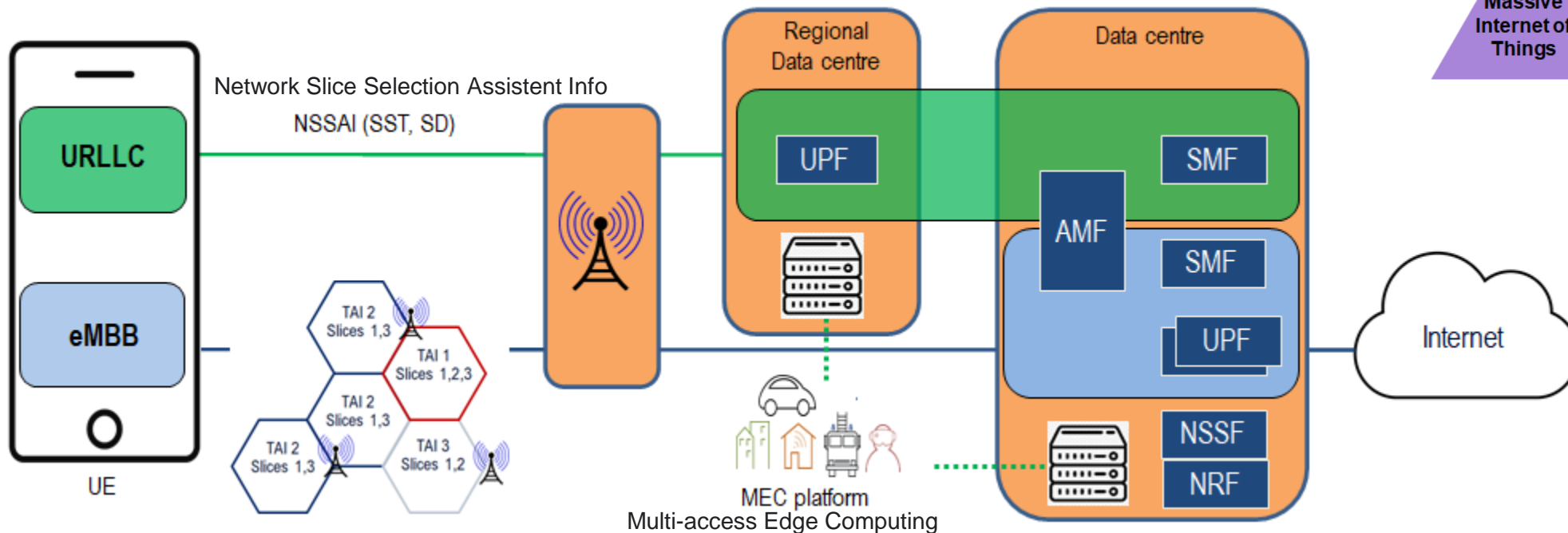
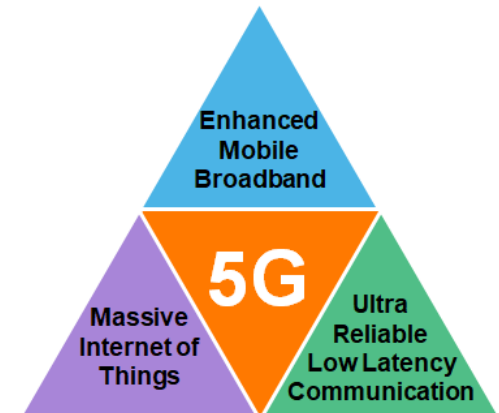
Network slicing - allows to virtualize the physical infrastructure and create multiple virtual networks for various services

eMBB (enhanced Mobile Broadband), URLLC (Ultra Reliable Low Latency Communication),  
mIoT (mobile IOT), user defined slices

8 slices per UE

RAN & Transport – routing and prioritization per slice

Possibility to allocate dedicated resources per slice



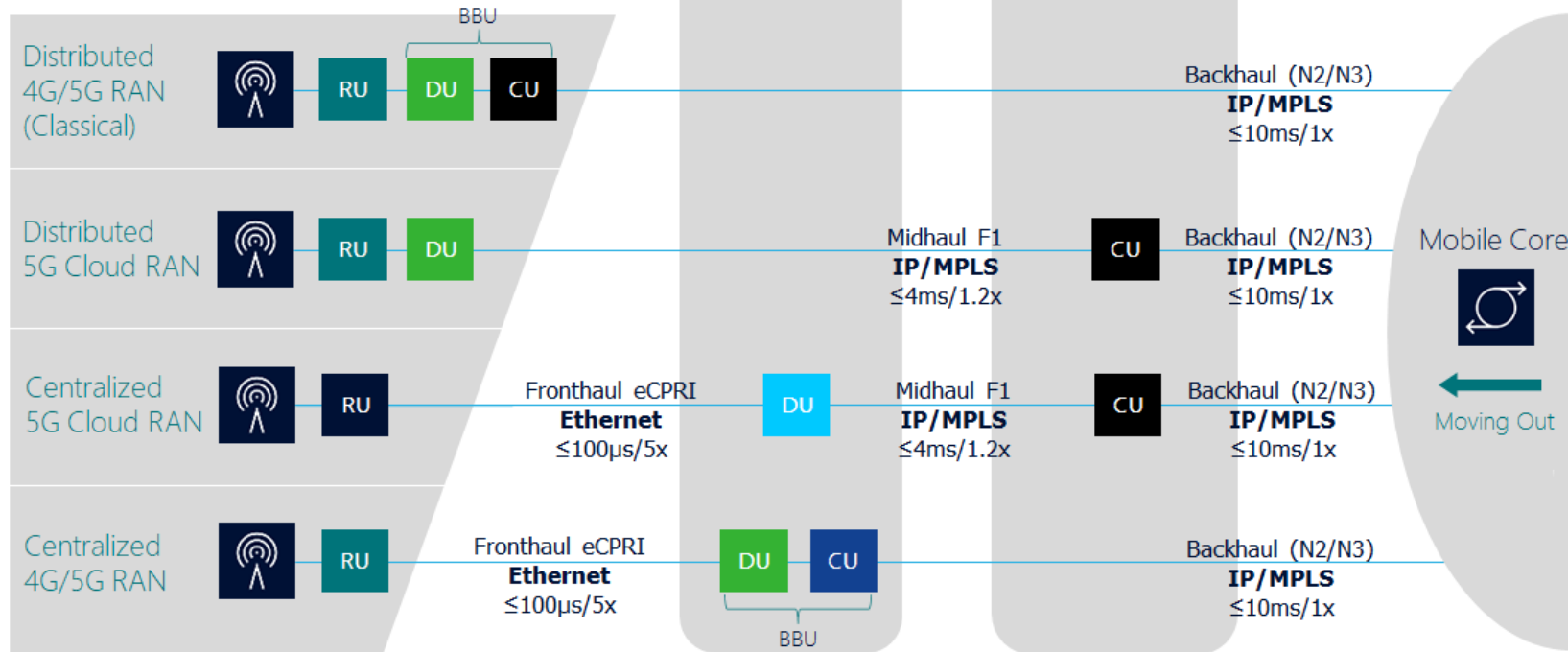
# Use cases - different network requirements

Use-Case				Delivered by Network Slice					
Application Category	Examples	Cost Sensitivity	Deployment	Throughput (bps)		Latency (RTT)		Reliability	
				UL	DL	E2E Appl.	Network		
<b>Consumers:</b> <ul style="list-style-type: none"> <li>• Mobile Broadband</li> <li>• Events</li> <li>• Entertainment</li> <li>• SoHo/Homes</li> </ul>	Mobile Broadband	<ul style="list-style-type: none"> <li>• Smartphones in dense urban</li> <li>• Corporate mobile office</li> </ul>	Medium	mass	10-50M	100-300M	50-200ms	15-25ms	Medium - High
	Fixed Wireless Access	<ul style="list-style-type: none"> <li>• 5G for residential homes</li> <li>• Wireless SOHO/VPN</li> </ul>	High	targeted	100-200M	1-5G	150-200ms	1-20ms	High
	Event experience	<ul style="list-style-type: none"> <li>• Immersive VR360</li> <li>• AR gaming</li> </ul>	Medium	targeted	1-5G	1-100M	5-50ms	1-5ms	Medium - High
	In -Vehicel Entertainment	<ul style="list-style-type: none"> <li>• Private cars</li> <li>• Public transport</li> </ul>	Medium	mass	1k-1M	5-100M	150-200ms	1-20ms	Medium-High
<b>Industries:</b> <ul style="list-style-type: none"> <li>• Manufacturing</li> <li>• Seaports, Mining</li> <li>• Agriculture</li> <li>• Utilities</li> <li>• Smart Cities</li> </ul>	Critical automation	<ul style="list-style-type: none"> <li>• Collaborative robots/drones</li> <li>• Electrical grid tele-protection</li> </ul>	Low	mass	1-10M	1M	5-50ms	1-5ms	High/Very High
	Tele-operation	<ul style="list-style-type: none"> <li>• Video-based remote control</li> <li>• Video w/haptic remote cntrl</li> </ul>	Medium	targeted	1-10M	1M	50-150ms	1-25ms	High/Very High
	Highly interactive AR	<ul style="list-style-type: none"> <li>• Co-present Mixed Reality</li> <li>• 360° volumetric video AR/MR</li> </ul>	Medium	targeted	1-100M	5-100M	50-100ms	1-10ms	Medium
	Mass sensor arrays	<ul style="list-style-type: none"> <li>• Agriculture field sensors</li> <li>• Smart city sensors &amp; meters</li> </ul>	Very High	mass	1k-1M	1k-1M	1-2s	200-500ms	Medium-Low



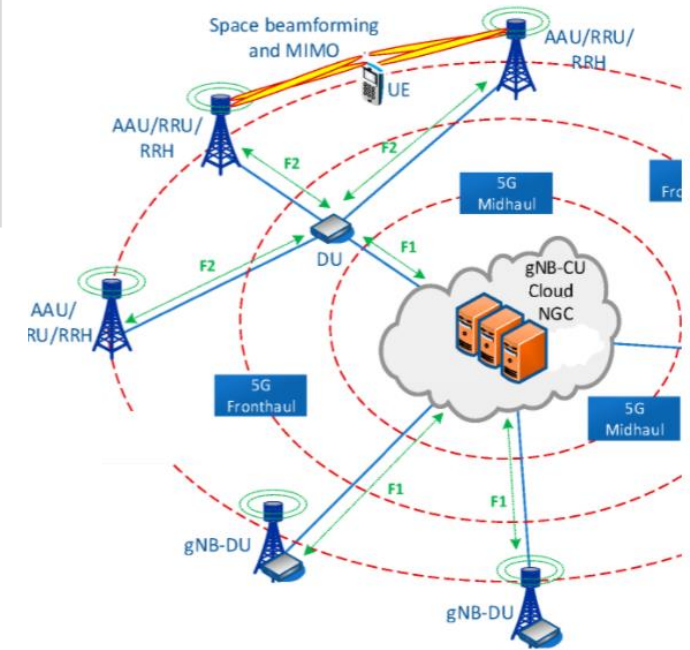
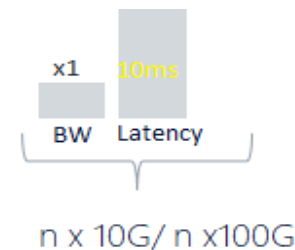
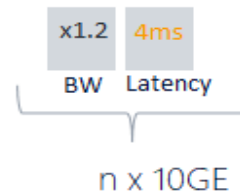
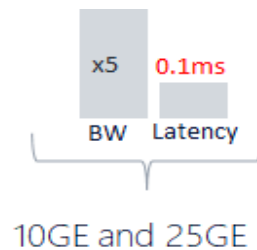
# 5G RAN Architecture Options

Radio Site



RU = Radio Unit  
DU = Distributed Unit  
CU = Centralized Unit

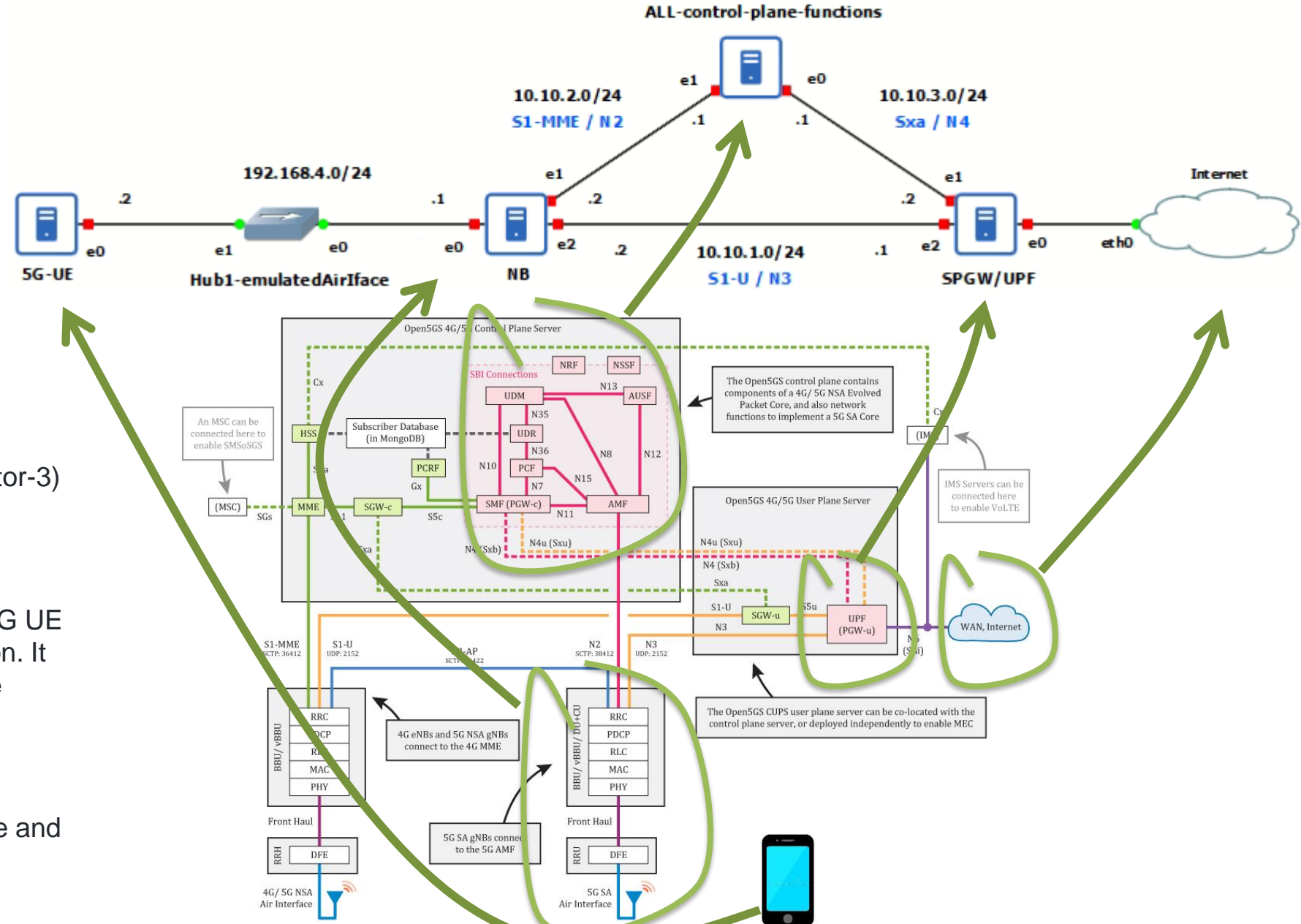
Midhaul = FS-HL = Functional Split High Layer  
Fronthaul = FS-LL = Functional Split Low Layer





# Virtualized NR/LTE infrastructure

# Open Source based virtualized NR/LTE infrastructure



**GNS3** (Graphical Network Simulator-3) software allows you to emulate complex network designs

**UERANSIM** is the open-source 5G UE and RAN (gNodeB) implementation. It can be considered as a 5G mobile phone and a base station

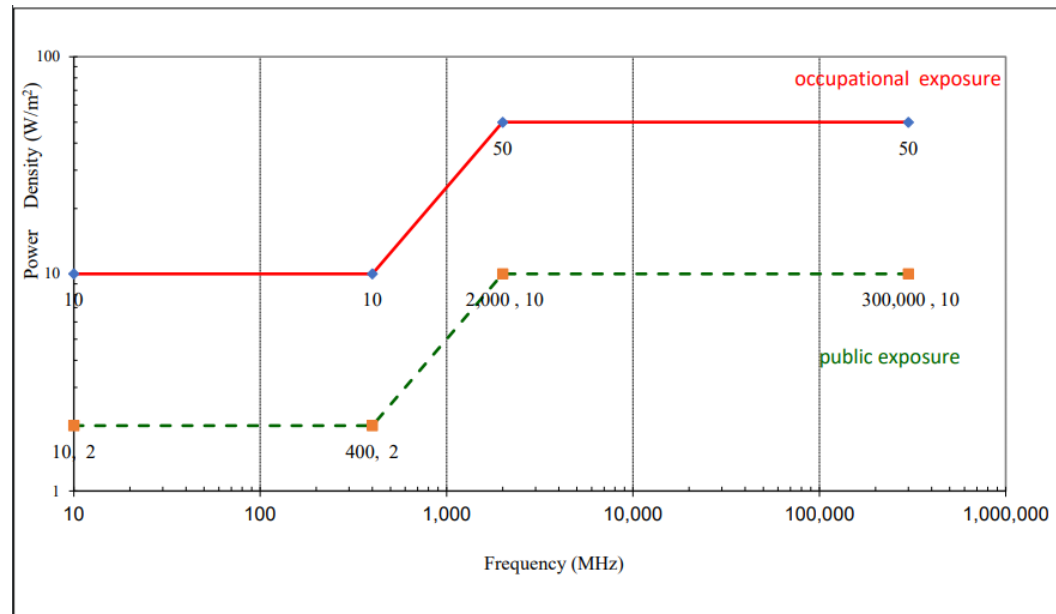
**Open5GS** is a C-language Open Source implementation of 5G Core and EPC



# Exposure limits

# Power-density exposure limits above 10MHz from 1998

- ICNIRP - International Commission for Non-Ionizing Radiation Protection, independent non-profit organization, provides scientific advice and guidance on the health and environmental effects of non-ionizing radiation (NIR) to protect people and the environment
- Assumption - electromagnetic radiation has only thermal effects
- Measurements are averaged over a 6 minute interval, not peak values
- The problem of high frequency modulated pulse signal



EU Council Recommendations (EU 1999/519 / EC) as a basis for national legislation

Frekvencia	900MHz W/m2	1800 MHz W/m2	2100 MHz W/m2
EU	4.5	9	10
BE	-	-	-
Bulharsko	0.1	0.1	0.1
Cyprus	4.5	9	10
Česká Republika	4.5	9	10
Dánsko	-	-	-
Estónsko	4.5	9	10
Fínsko	4.5	9	10
Francúzsko	4.5	9	10
Grécko	2.7	5.4	6
Chorvátsko	0.72	1.4	1.7
Írsko	4.5	9	10
Litva	0.45	0.9	1
Lotyšsko	-	-	-
Luxembursko	4.5	9	10
Maďarsko	4.5	9	10
Malta	4.5	9	10
Nemecko	4.5	9	10
Holandsko	-	-	-
Poľsko	4.5	9	10
Portugalsko	4.5	9	10
Rakúsko	4.5	9	10
Rumunsko	4.5	9	10
Slovensko	4.5	9	10
Slovinsko	0.45	0.9	1
Španielsko	4.5	9	10
Švédsko	4.5	9	10
Taliansko	0.1	0.1	0.1
Veľká Británia	4.5	9	10

New proposed limits are at the range of 1-10  $\mu$ W/m<sup>2</sup>, based on health risks

# Exposure levels from sources and recommended biological limits

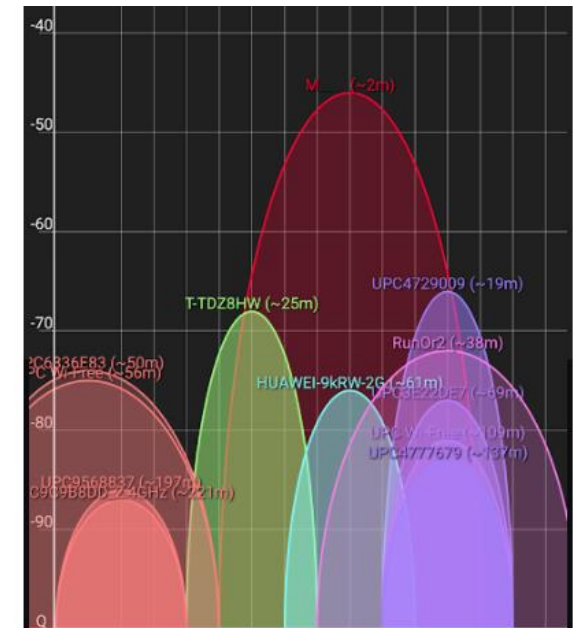
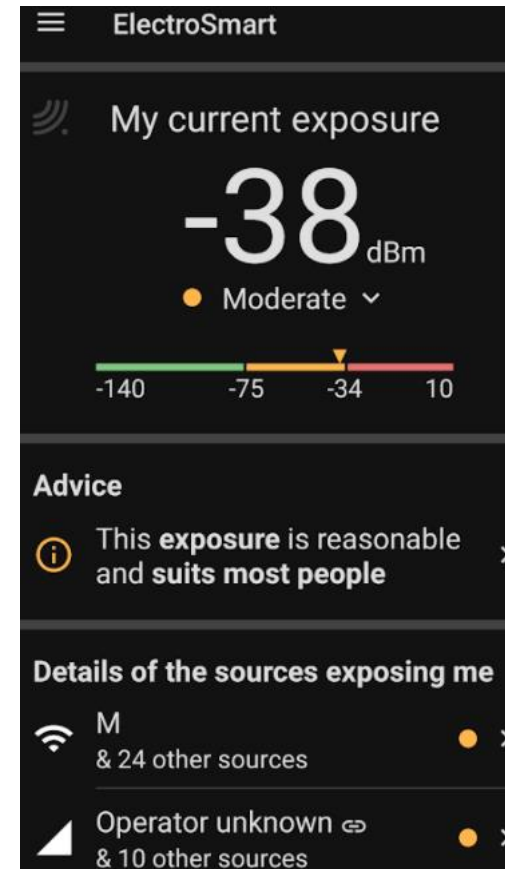
	(MHz)	Vyžiarený výkon	30 cm	1 m	5 m	20 m	300 m	5 km
Letecký radar	2700 - 2900	100 - 1000 kW					900k	3k
FM rádio	88 - 108	10 W - 100 kW					90k	300
DVB-T vysielateľ	470 - 790	10 W - 50 kW					45k	150
2G 3G 4G	700 - 3700	2 - 15 kW					400k - 3M	2k - 14k
Mikrovlnná rúra	2450	1 W	750k	75k	3k	200		
2G Mobilný telefón	900	2.5 mW - 2 W	2k - 1.6M	200 - 160k	8 - 6 300	0,5 - 400		
4G mobilný telefón	800/1700/2500	100 nW - 200 mW	0.1 - 160k	0.01 - 16k	0 - 630			
WiFi router / PC	2400	100 mW	80k	8k	320			
	5600				630			

1M $\mu$ W	1000 mW	30 dBm
100k $\mu$ W	100 mW	20 dBm
10k $\mu$ W	10 mW	10 dBm
1k $\mu$ W	1 mW	0 dBm
100 $\mu$ W	0.1 mW	-10 dBm
10 $\mu$ W	0.01 mW	-20 dBm
1 $\mu$ W	0.001 mW	-30 dBm

EUROPAEM EMF 2016	Denná expozícia ( $\mu$ W/m <sup>2</sup> )	Nočná expozícia ( $\mu$ W/m <sup>2</sup> )
Analogový rozhlas (FM)	10 000	1 000
Komunikačný rádiový systém (TETRA)	1 000	100
Digitálna TV (DVB-T)	1 000	100
Základňová stanica GSM (2G)	100	10
Základňová stanica UMTS (3G)	100	10
Základňová stanica LTE (4G)	100	10
Bezdrôtový telefón, detská pestúnka (DECT)	100	10
GSM (2G) telefón / modem (8.33 Hz impulzy)	10	1
Digitálny rozhlas DAB+ (10.4 Hz impulzy)	10	1
Wi-Fi 2.4 / 5 GHz router (9.76 Hz impulzy)	10	1

## Building biology evaluation guideline [ $\mu$ W/m<sup>2</sup>]

Smernica stavebnej biológie	Bez anomálie	Ľahká anomália	Silná anomália	Extrémna anomália
Intenzita pola E	< 0.006	0.006 - 0.06	0.06 - 0.6	> 0.6
Hustota radiačného toku S	< 0.1	0.1 - 10	10 - 1000	> 1000





# Ďakujem za pozornosť.

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Vytvorené v rámci projektu KEGA 026TUKE-4/2021